

# Stone Coalgebras

Clemens Kupke

*Institute for Logic, Language and Computation, University of Amsterdam,  
Plantage Muidergracht 24, NL-1018 TV Amsterdam, and  
Centrum voor Wiskunde en Informatica, P.O. Box 94079, NL-1090 GB  
Amsterdam. E-mail: ckupke@science.uva.nl.*

Alexander Kurz<sup>1</sup>

*Department of Computer Science, University of Leicester, University Road,  
Leicester LE1 7RH, UK.*

Yde Venema

*Institute for Logic, Language and Computation, University of Amsterdam,  
Plantage Muidergracht 24, NL-1018 TV Amsterdam. E-mail:  
yde@science.uva.nl.*

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## Abstract

We argue that the category of Stone spaces forms an interesting base category for coalgebras, in particular, if one considers the Vietoris functor as an analogue to the power set functor on the category of sets.

We prove that the so-called descriptive general frames, which play a fundamental role in the semantics of modal logics, can be seen as Stone coalgebras in a natural way. This yields a duality between modal algebras and coalgebras for the Vietoris functor.

Building on this idea, we introduce the notion of a Vietoris polynomial functor over the category of Stone spaces. For each such functor  $T$  we provide an adjunction between  $T$ -sorted Boolean algebras with operators and the Stone coalgebras for  $T$ . We also identify the subcategory of algebras on which the adjunction restricts to an equivalence and show that the final  $T$ -coalgebra is the dual of the initial  $T$ -BAO.

*Key words:* coalgebra, Stone spaces, Vietoris topology, modal logic, descriptive general frames, Kripke polynomial functors

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## 1 Introduction

Every coalgebra is based on a carrier which is an object in the so-called base category. Most of the literature on coalgebras either focuses on **Set** as the base category, or takes a very general perspective, allowing arbitrary base categories (possibly restricted by some constraints). The aim of this paper is to argue that, besides **Set**, the category **Stone** of Stone spaces is an interesting base category. We have a number of reasons for believing that *Stone coalgebras*, that is, coalgebras based on **Stone**, are of relevance.

To start with, in Section 3 we discuss interesting examples of Stone coalgebras, namely the ones that are associated with the *Vietoris functor*  $\mathbb{V} : \mathbf{Stone} \rightarrow \mathbf{Stone}$ , a topological analogue of the power set functor on **Set**.  $\mathbb{V}$  is a functorial extension of a well-known topological construction which associates with a topology its Vietoris topology [12]. This construction preserves a number of nice topological properties; in particular, it turns Stone spaces into Stone spaces [18]. As we will see further on, the category  $\mathbf{Coalg}(\mathbb{V})$  of coalgebras for the Vietoris functor is of interest because it is isomorphic to the category **DGF** of descriptive general frames. This category in its turn is dual to that of modal algebras, and hence, unlike Kripke frames, descriptive general frames form a mathematically adequate semantics for modal logics [8].

The connection with modal logic thus forms a second reason as to why Stone coalgebras are of interest. Since coalgebras can be seen as a very general model of state-based dynamics, and modal logic as a logic for dynamic systems, the relation between modal logic and coalgebras is rather tight. Starting with the work of Moss [25], this has been an active research area [28,17,6,27,15,9]. The relation between modal logic and coalgebras can be seen to dualise that between equational logic and algebra [20,19], an important difference being that the relation with **Set**-based coalgebras works smoothly only for modal languages that allow infinitary formulas. In the case of the Vietoris functor however, it follows from the duality between  $\mathbf{Coalg}(\mathbb{V})$  and the category **MA** of modal algebras, that  $\mathbf{Coalg}(\mathbb{V})$  provides an adequate semantics for *finitary* modal logics. Although probably not widely known, this insight is in fact due to Abramsky [1].

In Sections 4 and 5 we further substantiate our case for Stone spaces as a coalgebraic base category by considering so-called Vietoris polynomial functors as the **Stone**-based analogues of Kripke polynomial functors over **Set** [28]. Transferring the work of Jacobs [17] from the setting of **Set**-coalgebras to **Stone**-coalgebras, we establish, for each such functor  $T$ , a link between the category  $\mathbf{BAO}_T$  of  $T$ -sorted Boolean algebras with operators and the category  $\mathbf{Coalg}(T)$  of Stone coalgebras for  $T$ . In Section 4 we lay the foundations of this work, introducing the notions of a Vietoris polynomial functor

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(VPF), the algebraic and coalgebraic categories, and functors between these categories. Section 5 shows that these functors form an adjunction between the categories  $\mathbf{BAO}_T$  and  $\mathbf{Coalg}(T)$ , for any VPF  $T$ . Although this adjunction is not a dual equivalence in general, we will see that each coalgebra can be represented by an algebra, more precisely,  $\mathbf{Coalg}(T)^{\text{op}}$  is (isomorphic to) a full coreflective subcategory of  $\mathbf{BAO}_T$ . We identify the full subcategory of  $\mathbf{BAO}_T$  on which the adjunction restricts to an equivalence and show that the initial  $T$ -BAO is dual to the final  $T$ -coalgebra.

Let us add two more observations on Stone-coalgebras. First, the duality of descriptive general frames and modal algebras shows that the (trivial) duality between the categories  $\mathbf{Coalg}(T)$  and  $\mathbf{Alg}(T^{\text{op}})$  has non-trivial instances. Second, it might be interesting to note that **Stone** provides a meaningful example of a base category for coalgebras which is not locally finitely presentable.

**Related Work** The study of coalgebras over topological spaces is closely related to existing work in denotational semantics. One of the main concerns of denotational semantics is to find, for a given type constructor  $T : \mathcal{X} \rightarrow \mathcal{X}$ , solutions to the equation  $X \cong TX$ . The typical situation is the following.  $\mathcal{X}$  is a category of topological spaces as, for example, domains (see e.g. [4]) or (ultra)metric spaces (see e.g. [11,32]),  $T$  is a functor, and the favoured solution of  $X \cong TX$  is the final  $T$ -coalgebra  $X \rightarrow TX$ . The Vietoris functor is known in domain theory as the Plotkin powerdomain and its version on **Stone** has been considered in Abramsky [1]. The category of Stone spaces with a countable base and their connection to SFP-domains have been investigated by Alessi, Baldan, and Honsell [5]. Compared to Abramsky [2], our work might be seen as a variation based on the use of Stone spaces instead of SFP-domains. Motivated by a different perspective, coalgebras over Stone spaces have been considered recently also by Davey and Galati [10].

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## 2 Preliminaries

We presuppose some familiarity with category theory, general topology, the (duality) theory of Boolean algebras, and universal coalgebra. The main purpose of this section is to fix our notation and terminology.

**Definition 2.1** Let  $\mathbf{C}$  be a category and  $T : \mathbf{C} \rightarrow \mathbf{C}$  an endofunctor. Then a *T-coalgebra* is a pair  $(X, \xi : X \rightarrow TX)$  where  $X$  denotes an object of  $\mathbf{C}$  and  $\xi$  a morphism of  $\mathbf{C}$ . A *T-coalgebra morphism*  $h : (X_1, \xi_1) \rightarrow (X_2, \xi_2)$  is a  $\mathbf{C}$ -morphism  $h : X_1 \rightarrow X_2$  satisfying  $\xi_2 \circ h = Th \circ \xi_1$ . The category  $\mathbf{Coalg}(T)$  has *T-coalgebras* as its objects and *T-coalgebra morphisms* as arrows. Dually, we define a *T-algebra* to be a  $T^{\text{op}}$ -coalgebra and  $\mathbf{Alg}(T) = (\mathbf{Coalg}(T^{\text{op}}))^{\text{op}}$ .  $\triangleleft$

**Example 2.2** A *Kripke frame* is a structure  $\mathbb{F} = (X, R)$  such that  $R$  is a binary relation on  $X$ . Kripke frames can be seen as coalgebras for the power set functor  $\mathcal{P}$  over  $\mathbf{Set}$ : replace the binary relation  $R$  of a frame  $\mathbb{F} = (X, R)$  with the map  $R[-] : X \rightarrow \mathcal{P}(X)$  given by  $R[s] := \{t \in X \mid Rst\}$ . In fact, Kripke frames (and models) form some of the prime examples of coalgebras; in particular, *bounded morphisms* between Kripke frames coincide with  $\mathcal{P}$ -coalgebra morphisms.

**Definition 2.3** A topological space  $\mathbb{X} = (X, \tau)$  is a *Stone space* if it is compact Hausdorff and has a basis of clopen sets.  $\mathbf{Clp}_{\mathbb{X}}$  will denote the set of clopen subsets of  $X$ . The category  $\mathbf{Stone}$  has Stone spaces as objects and continuous functions as morphisms.  $\triangleleft$

We now turn to Stone duality.

**Definition 2.4** The category of Boolean algebras and homomorphisms between them is denoted as  $\mathbf{BA}$ . The Stone space  $(\mathbf{Sp} \mathbb{B}, \tau_{\mathbb{B}})$  corresponding to a Boolean algebra  $\mathbb{B}$  is given by the collection  $\mathbf{Sp} \mathbb{B}$  of ultrafilters of  $\mathbb{B}$  and the topology  $\tau_{\mathbb{B}}$  generated by basic opens of the form  $\{u \in \mathbf{Sp} \mathbb{B} \mid b \in u\}$  for any  $b$  in  $\mathbb{B}$ . We let  $\mathbf{Sp}$  denote the functor that associates with a Boolean algebra its corresponding Stone space, and with a Boolean homomorphism  $h : \mathbb{B}_1 \rightarrow \mathbb{B}_2$  the restriction of the inverse image function  $h^{-1}$  to  $\mathbf{Sp} \mathbb{B}_2$ . That is,  $\mathbf{Sp}(h) : u \mapsto \{b \in \mathbb{B}_1 \mid h(b) \in u\}$ .

Conversely, the functor mapping a Stone space  $\mathbb{X}$  to the Boolean algebra  $\mathbf{Clp}_{\mathbb{X}}$  of its clopens, and a continuous morphism to its inverse image function, is denoted as  $\mathbf{Clp}$ .

Furthermore, for any Boolean algebra  $\mathbb{B}$  we define a map  $i_{\mathbb{B}} : \mathbb{B} \rightarrow \mathbf{Clp} \mathbf{Sp} \mathbb{B}$  given by  $i_{\mathbb{B}}(b) = \hat{b} := \{u \in \mathbf{Sp} \mathbb{B} \mid b \in u\}$ , and for any Stone space  $\mathbb{X}$  we define a map  $\epsilon_{\mathbb{X}} : \mathbb{X} \rightarrow \mathbf{Sp} \mathbf{Clp} \mathbb{X}$  fixed by  $\epsilon_{\mathbb{X}}(x) := \{U \in \mathbf{Clp}_{\mathbb{X}} \mid x \in U\}$ .  $\triangleleft$

**Theorem 2.5** *The families of morphisms  $(i_{\mathbb{B}})_{\mathbb{B} \in \mathbf{BA}}$  and  $(\epsilon_{\mathbb{X}})_{\mathbb{X} \in \mathbf{Stone}}$  are natural isomorphisms. Hence, the functors  $\mathbf{Sp} : \mathbf{BA} \rightarrow \mathbf{Stone}^{\text{op}}$  and  $\mathbf{Clp} : \mathbf{Stone}^{\text{op}} \rightarrow \mathbf{BA}$  induce a dual*

equivalence

$$\mathbf{BA} \simeq \mathbf{Stone}^{\text{op}}.$$

**Definition 2.6** Let  $\mathbb{X} = (X, \tau)$  be a topological space. We let  $K(\mathbb{X})$  denote the collection of all closed subsets of  $X$ . Define the operations  $[\exists], \langle \exists \rangle : \mathcal{P}(X) \rightarrow \mathcal{P}(K(\mathbb{X}))$  by

$$\begin{aligned} [\exists]U &:= \{F \in K(\mathbb{X}) \mid F \subseteq U\}, \\ \langle \exists \rangle U &:= \{F \in K(\mathbb{X}) \mid F \cap U \neq \emptyset\}. \end{aligned}$$

Given a subset  $Q \subseteq \mathcal{P}(X)$ , define

$$V_Q := \{[\exists]U \mid U \in Q\} \cup \{\langle \exists \rangle U \mid U \in Q\}.$$

The Vietoris space  $\mathbb{V}(\mathbb{X})$  associated with  $\mathbb{X}$  is given by the topology  $v_{\mathbb{X}}$  on  $K(\mathbb{X})$  which is generated by  $V_{\tau}$  as subbasis.  $\triangleleft$

In case the original topology is compact, then we might as well have generated the Vietoris topology in other ways. This has nice consequences for the case that the original topology is a Stone space.

**Lemma 2.7** *Let  $\mathbb{X} = (X, \tau)$  be a compact topological space and let  $\mathcal{B}$  be a basis of  $\tau$  that is closed under finite unions. Then the set  $V_{\mathcal{B}}$  forms a subbasis for  $v_{\mathbb{X}}$ . In particular, if  $\mathbb{X}$  is a Stone space, then the set  $V_{\text{Cl}_{\mathcal{P}_{\mathbb{X}}}}$  forms a subbasis for  $v_{\mathbb{X}}$ .*

The Vietoris construction preserves various nice topological properties; proofs of this can be found in for instance [24].

**Lemma 2.8** *Let  $\mathbb{X} = (X, \tau)$  be a topological space.*

- (1) *If  $\mathbb{X}$  is compact then  $(K(\mathbb{X}), v_{\mathbb{X}})$  is compact.*
- (2) *If  $\mathbb{X}$  is compact and Hausdorff, then  $(K(\mathbb{X}), v_{\mathbb{X}})$  is compact and Hausdorff.*
- (3) *If  $\mathbb{X}$  is a Stone space, then so is  $(K(\mathbb{X}), v_{\mathbb{X}})$ .*

### 3 General frames as coalgebras

In this section we discuss what are probably the prime examples of Stone coalgebras, namely those for the Vietoris functor  $\mathbb{V}$ . As we will see, the importance of these structures lies in the fact that the category  $\mathbf{Coalg}(\mathbb{V})$  is isomorphic to the category of so-called *descriptive general frames*, and hence, dual to the category of modal algebras (all these notions will be defined below). We hasten to remark that when it comes down to the technicalities, this section contains little news; most of the results in this section can be

obtained by exposing existing material from Esakia [13], Goldblatt [16], Johnstone [18], and Sambin and Vaccaro [31] in a new coalgebraic light. Moreover, it will turn out that the duality of descriptive general frames and modal algebras is an instance of the general relationship between syntax and semantics as laid out by Abramsky in his domain theory in logical form [3]. He was also the first to observe the duality of  $\text{Coalg}(\mathbb{V})$  and modal algebras in [1].

Modal algebras and (descriptive) general frames play a crucial role in the theory of modal logic, providing an important class of structures interpreting modal languages. From a mathematical perspective they display much better behaviour than Kripke frames, since the latter provide too poor a tool to make the required distinctions between modal logics (in the technical sense, see for instance [8], Chapter 4). The algebraic semantics for modal logic does not suffer from this fundamental incompleteness result: every modal logic is determined by the class of modal algebras on which it is valid.

**Definition 3.1** Let  $\mathbb{B}$  and  $\mathbb{B}'$  be Boolean algebras; an operation  $g : B \rightarrow B'$  on their carriers is said to *preserve finite meets* if  $g(\top) = \top'$  and  $g(b_1 \wedge b_2) = g(b_1) \wedge' g(b_2)$ . A *modal algebra* is a structure  $\mathbb{A} = (A, \wedge, -, \perp, \top, g)$  such that the reduct  $(A, \wedge, -, \perp, \top)$  of  $\mathbb{A}$  is a Boolean algebra, and  $g : A \rightarrow A$  preserves finite meets. The category of modal algebras (with homomorphisms) is denoted by **MA**.  $\triangleleft$

The intended meaning of  $g$  is to provide an interpretation of the modal operator  $\Box$ . Thinking of  $a \in A$  as the interpretation of a modal formula  $\varphi$ ,  $g(a)$  provides the interpretation of  $\Box\varphi$ .

**Example 3.2** (1) If  $(X, R)$  is a Kripke frame then  $(\mathcal{P}X, \cap, -, \emptyset, X, [R])$  is a modal algebra where  $[R](a) = \{x \in X \mid \forall y. Rxy \Rightarrow y \in a\}$ ,  
(2) Let **Prop** be a set of propositional variables and  $\mathcal{L}(\mathbf{Prop})$  be the set of modal formulas over **Prop** quotiented by  $\varphi \equiv \psi \Leftrightarrow \vdash_{\mathbf{K}} \varphi \leftrightarrow \psi$  where  $\vdash_{\mathbf{K}}$  denotes derivability in the basic modal logic **K** (see eg [8]). Then  $\mathcal{L}(\mathbf{Prop})$ —equipped with the obvious operations—is a modal algebra. In fact,  $\mathcal{L}(\mathbf{Prop})$  is the free modal algebra over **Prop** and is called the Lindenbaum-Tarski algebra (over **Prop**).

**Remark 3.3** Although not needed in the following, we indicate how modal formulas are evaluated in modal algebras. Let  $\varphi$  be a modal formula taking propositional variables from **Prop** and let  $\mathbb{A} = (A, \wedge, -, \perp, \top, g)$  be a modal algebra. Employing the freeness of the modal algebra  $\mathcal{L}(\mathbf{Prop})$  we can identify valuations of variables  $v : \mathbf{Prop} \rightarrow A$  with algebra morphisms  $\mathcal{L}(\mathbf{Prop}) \rightarrow \mathbb{A}$  and define  $\mathbb{A} \models \varphi$  if  $v([\varphi]_{\equiv}) = \top$  for all morphisms  $v : \mathcal{L}(\mathbf{Prop}) \rightarrow \mathbb{A}$ .

Modal algebras are fairly abstract in nature and many modal logicians prefer the intuitive, geometric appeal of Kripke frames. *General frames*, unifying the algebraic and

the Kripke semantics in one structure, provide a nice compromise.

**Definition 3.4** A *general frame* is a structure  $\mathbb{G} = (G, R, A)$  such that  $(G, R)$  is a Kripke frame and  $A$  is a collection of so-called *admissible* subsets of  $G$  that is closed under the Boolean operations and under the operation  $\langle R \rangle : \mathcal{P}(G) \rightarrow \mathcal{P}(G)$  given by:  $\langle R \rangle X := \{y \in G \mid Ryx \text{ for some } x \in X\}$ .

A general frame  $\mathbb{G} = (G, R, A)$  is called *differentiated* if for all distinct  $s_1, s_2 \in G$  there is a ‘witness’  $a \in A$  such that  $s_1 \in a$  while  $s_2 \notin a$ ; *tight* if whenever  $t$  is not an  $R$ -successor of  $s$ , then there is a ‘witness’  $a \in A$  such that  $t \in a$  while  $s \notin \langle R \rangle a$ ; and *compact* if  $\bigcap A_0 \neq \emptyset$  for every subset  $A_0$  of  $A$  which has the finite intersection property. A general frame is *descriptive* if it is differentiated, tight and compact.  $\triangleleft$

The term ‘admissible’ subset is explained by the semantic restriction that allows only those Kripke models on a general frame for which the extensions of the atomic formulae are admissible sets.

- Example 3.5** (1) Any Kripke frame  $(X, R)$  can be considered as a general frame  $(X, R, \mathcal{P}X)$ .  
(2) If  $\mathbb{A} = (A, \wedge, -, \perp, \top, g)$  is a modal algebra then  $(\mathbf{Sp} \mathbb{A}, R, \hat{A})$  where  $R = \{(u, v) \mid a \in u \Rightarrow g(a) \in v\}$  and  $\hat{A} = \{\{u \in \mathbf{Sp} \mathbb{A} \mid a \in u\} \mid a \in A\}$  is a descriptive general frame, where the admissible sets are the clopen basis of  $\mathbf{Sp} \mathbb{A}$ .  
(3) If  $\mathbb{G} = (G, R, A)$  is a general frame then  $(A, \cap, -, \emptyset, G, [R])$  is a modal algebra (where  $[R]X = \{y \in G \mid Ryx \Rightarrow x \in X\}$ ).

The last two examples form the basis of the dual equivalence

$$\mathbf{MA} \simeq \mathbf{DGF}^{\text{op}}$$

between the categories of modal algebras and descriptive general frames where the latter category is defined as follows.

**Definition 3.6** ( $\mathbf{GF}, \mathbf{DGF}$ ) A morphism  $\theta : (G, R, A) \rightarrow (G', R', A')$  is a function from  $G$  to  $G'$  such that (i)  $\theta : (G, R) \rightarrow (G', R')$  is a bounded morphism (see Example 2.2) and (ii)  $\theta^{-1}(a') \in A$  for all  $a' \in A'$ . We let  $\mathbf{GF}$  ( $\mathbf{DGF}$ ) denote the category with general frames (descriptive general frames, respectively) as its objects, and the general frame morphisms as the arrows.  $\triangleleft$

Since Kripke frames (and models) form prime examples of coalgebras, the question naturally arises whether (descriptive) general frames can be seen as coalgebras as well. Our positive answer to this question is based on two crucial observations. First, the admissible sets of a descriptive frame form a basis for a Stone topology because descriptive general frames are compact, differentiated and the admissible sets are closed

under Boolean operations. Second, the tightness condition of descriptive general frames can be reformulated as the requirement that the relation is *point-closed*; that is, the successor set of any point is closed in the Stone topology. This suggests that if we are looking for a coalgebraic counterpart of a descriptive general frame  $\mathbb{G} = (G, R, A)$ , it should be of the form  $R[-] : (G, \tau) \rightarrow (K(G), \tau_\gamma)$  where  $K(G)$  is the collection of closed sets in the Stone topology  $\tau$  on  $G$  and  $\tau_\gamma$  is some suitable topology on  $K(G)$ , which turns  $K(G)$  again into a Stone space. A good candidate is the Vietoris topology: it is based on the closed sets of  $\tau$  and it yields a Stone space if we started from one. Moreover, as we will see, choosing the Vietoris topology for  $\tau_\gamma$ , continuity of the map  $R[-]$  corresponds to the admissible sets being closed under  $\langle R \rangle$ .

We will prove that the category of descriptive general frames and the category  $\text{Coalg}(\mathbb{V})$  of coalgebras for the Vietoris functor are in fact *isomorphic*. We first note that [12, Theorem 3.1.8] whenever  $f : \mathbb{X} \rightarrow \mathbb{X}'$  is a continuous map between compact Hausdorff spaces, then the image map  $f[-]$  sends closed sets to closed sets. This motivates defining  $\mathbb{V}(f) : K(\mathbb{X}) \rightarrow K(\mathbb{X}')$  by

$$\mathbb{V}(f)(F) := f[F] (= \{f(x) \mid x \in F\}). \quad (1)$$

**Definition 3.7** The *Vietoris functor* on the category of Stone spaces is given on objects as in Definition 2.6 and on morphisms as in (1).  $\triangleleft$

We now turn to the isomorphism between the categories  $\text{DGF}$  and  $\text{Coalg}(\mathbb{V})$ . It is straightforward to verify that the following definition is correct, that is, it indeed defines two functors.

**Definition 3.8** We define the functor  $\mathbb{C} : \text{DGF} \rightarrow \text{Coalg}(\mathbb{V})$  via

$$(G, R, A) \mapsto (G, \sigma_A) \xrightarrow{R[-]} \mathbb{V}(G, \sigma_A)$$

Here  $\sigma_A$  denotes the Stone topology generated by taking  $A$  as a basis. Conversely, there is a functor  $\mathbb{D} : \text{Coalg}(\mathbb{V}) \rightarrow \text{DGF}$  given by

$$(\mathbb{X}, \gamma) \mapsto (X, R_\gamma, \text{Clp}_\mathbb{X})$$

where  $R_\gamma$  is defined by  $R_\gamma s_1 s_2$  iff  $s_2 \in \gamma(s_1)$ . On morphisms both functors act as the identity with respect to the underlying **Set**-functions.  $\triangleleft$

**Theorem 3.9** *The functors  $\mathbb{C}$  and  $\mathbb{D}$  form an isomorphism between the categories  $\text{DGF}$  and  $\text{Coalg}(\mathbb{V})$ .*

**Proof.** The theorem can be easily proven by just spelling out the definitions.  $\square$



**Remark 3.10 (Propositional Variables)** For a set-coalgebra  $(X, \xi)$ , a valuation of propositional variables  $p \in \mathbf{Prop}$  is a function  $X \rightarrow \prod_{\mathbf{Prop}} 2$  where  $2$  is the two-element set of truth-values. For a Stone-coalgebra  $(\mathbb{X}, \xi)$ , a valuation is a continuous map  $v : \mathbb{X} \rightarrow \prod_{\mathbf{Prop}} 2$  where  $2$  is taken with the discrete topology. The continuity of  $v$  is equivalent to the statement that the propositional variables take their values in admissible sets. Indeed, writing  $\pi_p : \prod_{\mathbf{Prop}} 2 \rightarrow 2$  ( $p \in \mathbf{Prop}$ ) for the projections, continuity of  $v$  is equivalent to  $v^{-1}(\pi_p^{-1}(\{1\}))$  clopen for all  $p \in \mathbf{Prop}$ . Observing that  $v^{-1}(\pi_p^{-1}(\{1\})) = \{x \in X \mid v(x)_p = 1\}$  is the extension of  $p$ , the claim now follows from the fact that the clopens coincide with the admissible sets.

Let us note two corollaries of Theorem 3.9. Using  $\mathbf{MA} \simeq \mathbf{DGF}^{\text{op}}$  and  $(\mathbf{Coalg}(\mathbb{V}))^{\text{op}} = \mathbf{Alg}(\mathbb{V}^{\text{op}})$ , it follows that  $\mathbf{MA} \simeq \mathbf{Alg}(\mathbb{V}^{\text{op}})$ . With  $\mathbf{Stone}^{\text{op}} \simeq \mathbf{BA}$  we obtain the following.

**Corollary 3.11** *There is a functor  $H : \mathbf{BA} \rightarrow \mathbf{BA}$  such that the category of modal algebras  $\mathbf{MA}$  is equivalent to the category  $\mathbf{Alg}(H)$  of algebras for the functor  $H$ .*

**Proof.** Using  $\mathbf{Clp} : \mathbf{Stone} \rightarrow \mathbf{BA}$  and  $\mathbf{Sp} : \mathbf{BA} \rightarrow \mathbf{Stone}$ , we let  $H = \mathbf{Clp}\mathbb{V}\mathbf{Sp}$ . The claim now follows from the observation that  $\mathbf{Alg}(H)$  is dual to  $\mathbf{Coalg}(\mathbb{V})$ : An algebra  $HA \xrightarrow{\alpha} A$  corresponds to the coalgebra  $\mathbf{Sp} A \xrightarrow{\mathbf{Sp}\alpha} \mathbf{Sp} HA \cong \mathbb{V}\mathbf{Sp} A$  and a coalgebra  $\mathbb{X} \xrightarrow{\xi} \mathbb{V}\mathbb{X}$  corresponds to the algebra  $H\mathbf{Clp}\mathbb{X} \cong \mathbf{Clp}\mathbb{V}\mathbb{X} \xrightarrow{\mathbf{Clp}\xi} \mathbf{Clp}\mathbb{X}$ . QED

An explicit description of  $H$  not involving the Vietoris functor is given by the following proposition.

**Proposition 3.12** *Let  $H : \mathbf{BA} \rightarrow \mathbf{BA}$  be the functor that assigns to a Boolean algebra the free Boolean algebra over its underlying meet-semilattice. Then  $\mathbf{Alg}(H)$  is isomorphic to the category of modal algebras  $\mathbf{MA}$ .*

**Proof.** We use the well-known fact that  $\mathbf{MA}$  is isomorphic to the category  $\mathbf{MPF}$  which is defined as follows. An object of  $\mathbf{MPF}$  is an endofunction  $A \xrightarrow{m} A$  on a Boolean algebra  $A$  that preserves finite meets (i.e. binary meets and the top-element). A morphism  $f : (A \xrightarrow{m} A) \rightarrow (A' \xrightarrow{m'} A')$  is a Boolean algebra morphism  $f : A \rightarrow A'$  such that  $m' \circ f = f \circ m$ . We also write  $\mathbf{BA}_{\wedge}$  for the category with Boolean algebras as objects and finite meet preserving functions as morphisms.

To prove that  $\mathbf{Alg}(H)$  and  $\mathbf{MPF}$  are isomorphic categories, we first show that  $\mathbf{BA}(HA, A) \cong \mathbf{BA}_{\wedge}(A, A)$ , or slightly more general and precise,  $\mathbf{BA}(HA, B) \cong \mathbf{BA}_{\wedge}(IA, IB)$  where  $I : \mathbf{BA} \hookrightarrow \mathbf{BA}_{\wedge}$ . (Here we denote, for a category  $\mathbf{C}$  and objects  $A, B$  in  $\mathbf{C}$ , the set of morphisms between  $A$  and  $B$  by  $\mathbf{C}(A, B)$ .) Indeed, consider the forgetful functors  $U : \mathbf{BA} \rightarrow \mathbf{SL}$ ,  $V : \mathbf{BA}_{\wedge} \rightarrow \mathbf{SL}$  to the category  $\mathbf{SL}$  of meet-semilattices with top element, and the left adjoint  $F$  of  $U$ . Using our assumption  $H = FU$ , we calculate  $\mathbf{BA}(HA, B) = \mathbf{BA}(FUA, B) \cong \mathbf{SL}(UA, UB) \cong \mathbf{SL}(VIA, VIB) \cong \mathbf{BA}_{\wedge}(IA, IB)$ . The isomorphisms

$\varphi_A : \mathbf{BA}(HA, A) \rightarrow \mathbf{BA}_\wedge(A, A)$ ,  $A \in \mathbf{BA}$ , give us an isomorphism  $\varphi$  between the objects of  $\mathbf{Alg}(H)$  and  $\mathbf{MPF}$ . On morphisms, we define  $\varphi$  to be the identity. This is well-defined because the isomorphisms  $\mathbf{BA}(HA, B) \cong \mathbf{BA}_\wedge(IA, IB)$  are natural in  $A$  and  $B$ .  $\square$

**Remark 3.13** Detailing the construction of a free Boolean algebra over its underlying meet-semilattice, we see that, given a Boolean algebra  $\mathbb{A} = (A, \wedge, -, \perp, \top)$ ,  $H\mathbb{A}$  is the free Boolean algebra generated by  $\{\Box a \mid a \in A\}$  (the insertion of generators being  $\Box : \mathbb{A} \rightarrow H\mathbb{A}$ ,  $a \mapsto \Box a$ ) and satisfying the equations  $\Box \top = \top$ ,  $\Box(a \wedge b) = \Box a \wedge \Box b$ . That is, the functor  $H$  describes how to obtain modal logic by adding an operator to Boolean logic. As observed above this functor is the Stone dual of the Vietoris functor. This observation was made earlier by Abramsky in [1] and is an instance of the general relationship between syntax and semantics as laid out in his domain theory in logical form [3].

As another corollary to the duality we obtain that  $\mathbf{Coalg}(\mathbb{V})$  has cofree coalgebras.

**Corollary 3.14** *The forgetful functor  $\mathbf{Coalg}(\mathbb{V}) \rightarrow \mathbf{Stone}$  has a right adjoint.*

**Proof.** Consider the forgetful functors  $R : \mathbf{MA} \rightarrow \mathbf{BA}$ ,  $U : \mathbf{MA} \rightarrow \mathbf{Set}$ ,  $V : \mathbf{BA} \rightarrow \mathbf{Set}$ . Since  $U$  and  $V$  are monadic,  $R$  has a left adjoint. Hence, by duality,  $\mathbf{Coalg}(\mathbb{V}) \rightarrow \mathbf{Stone}$  has a right adjoint.  $\square$

Finally, let us see how arbitrary general frames can be seen as coalgebras.

**Remark 3.15 (General Frames as Coalgebras)** Stone spaces provide a convenient framework to study descriptive general frames since the admissible sets can be recovered from the topology: each Stone space  $\mathbb{X} = (X, \tau)$  has a *unique* basis that is closed under the Boolean operations. Making a generalisation to arbitrary general frames, we can still work in a coalgebraic framework, but we have to make two adjustments.

First, we work directly with admissible sets instead of with topologies: the category  $\mathbf{RBA}$  (represented Boolean algebras) has objects  $(X, A)$  where  $X$  is a set and  $A$  a set of subsets of  $X$  closed under Boolean operations. It has morphisms  $f : (X, A) \rightarrow (Y, B)$  where  $f$  is a function  $X \rightarrow Y$  such that  $f^{-1}(b) \in A$  for all  $b \in B$ .

And second, in the absence of tightness, the relation of the general frame will no longer be point-closed. Hence, its coalgebraic version has the full power set as its codomain. For  $\mathbb{X} = (X, A) \in \mathbf{RBA}$  let  $\mathbb{W}(\mathbb{X}) = (\mathcal{P}(X), v_{\mathbb{X}})$  where  $v_{\mathbb{X}}$  is the Boolean algebra generated by  $\{\{F \in \mathcal{P}X \mid F \cap a \neq \emptyset\} \mid a \in A\}$ . On morphisms let  $\mathbb{W}(f) = \mathcal{P}(f)$ . This clearly defines an endofunctor on the category  $\mathbf{RBA}$ , and the induced category  $\mathbf{Coalg}(\mathbb{W})$  is the coalgebraic version of general frames:

$$\text{There is an isomorphism between GF and } \mathbf{Coalg}(\mathbb{W}) \tag{2}$$

The crucial observation in the proof of (2) is that, for  $\mathbb{X} = (X, A) \in \mathbf{RBA}$  and  $R$  a relation on  $X$ , we have that  $A$  is closed under  $\langle R \rangle$  iff  $R[\_] : X \rightarrow \mathcal{P}X$  is a  $\mathbf{RBA}$ -morphism  $\mathbb{X} \rightarrow \mathbb{W}(\mathbb{X})$ . This follows from the fact that  $\langle R \rangle a = (R[\_])^{-1}(\{F \in \mathcal{P}X \mid F \cap a \neq \emptyset\})$ .

#### 4 Vietoris Polynomial Functors: from coalgebras to algebras and back

In this section we introduce the notion of a Vietoris polynomial functor (VPF) as a natural analogue for the category  $\mathbf{Stone}$  of Stone spaces of the so-called Kripke polynomial functors [28,17] on  $\mathbf{Set}$ . This section can be therefore seen as a first application of the observation that coalgebras over  $\mathbf{Stone}$  can be used as semantics for (coalgebraic) modal logics. Much of the work in this section consists of transferring the work by Jacobs in [17] to the topological setting. After introducing the Vietoris polynomial functors, we define, for each VPF, the category  $\mathbf{BAO}_T$  of  $T$ -sorted Boolean algebras with operators and their morphisms. We then link the categories  $\mathbf{BAO}_T$  and  $\mathbf{Coalg}(T)$  by functors  $\mathcal{A} : \mathbf{Coalg}(T)^{\text{op}} \rightarrow \mathbf{BAO}_T$  and  $\mathcal{C} : \mathbf{BAO}_T \rightarrow \mathbf{Coalg}(T)^{\text{op}}$ .

**Definition 4.1** The collection of *Vietoris polynomial functors*, in brief: VPFs, over  $\mathbf{Stone}$  is inductively defined as follows:

$$T ::= \mathbb{I} \mid \mathbb{K} \mid T_1 + T_2 \mid T_1 \times T_2 \mid T^D \mid \mathbb{V}T.$$

Here  $\mathbb{I}$  is the identity functor on the category  $\mathbf{Stone}$ ;  $\mathbb{K}$  denotes a finite Stone space (that is, the functor  $\mathbb{K}$  is a constant functor); ‘+’ and ‘ $\times$ ’ denote disjoint union and binary product, respectively; and, for an arbitrary set  $D$ ,  $T^D$  denotes the functor sending a Stone space  $\mathbb{X}$  to the  $D$ -fold product of  $T(\mathbb{X})$ .

Associated with this we inductively define the notion of a *path*:

$$p ::= \langle \rangle \mid \pi_1 \cdot p \mid \pi_2 \cdot p \mid \kappa_1 \cdot p \mid \kappa_2 \cdot p \mid \text{ev}(d) \cdot p \mid \mathbb{V} \cdot p.$$

By induction on the complexity of paths we now define when two VPFs  $T_1$  and  $T_2$  are related by a path  $p$ , notation:  $T_1 \xrightarrow{p} T_2$ :

$$\begin{array}{l} T \xrightarrow{\langle \rangle} T \\ T_1 \times T_2 \xrightarrow{\pi_i \cdot p} T' \quad \text{if} \quad T_i \xrightarrow{p} T' \\ T_1 + T_2 \xrightarrow{\kappa_i \cdot p} T' \quad \text{if} \quad T_i \xrightarrow{p} T' \\ T^D \xrightarrow{\text{ev}(d) \cdot p} T' \quad \text{if} \quad T \xrightarrow{p} T' \text{ and } d \in D \\ \mathbb{V}T \xrightarrow{\mathbb{V} \cdot p} T' \quad \text{if} \quad T \xrightarrow{p} T'. \end{array}$$

Finally, for a VPF  $T$  we define the category  $\mathbf{Ing}(T)$  of *ingredients* of  $T$  to be the category with the set  $\mathbf{Ing}(T) := \{S \mid \exists p.T \xrightarrow{p} S\} \cup \{\mathbb{I}\}$  as the set of objects and the paths as morphisms between them.  $\triangleleft$

**Remark 4.2** All of our results could have been generalised to a setting that allows infinite constants and infinite topologised sums as in Gehrke [14]. We confine ourselves to the functors of Definition 4.1 in order to stay as close as possible to existing work on Kripke polynomial functors.

We will now define *the Boolean algebras with operators associated with a VPF*. The definition of a so-called  $T$ -BAO may look slightly involved, but it is based on a simple generalisation of the concept of a modal algebra. The generalisation is that instead of dealing with a single Boolean algebra, we will be working with a *family*  $(\Phi(S))_{S \in \mathbf{Ing}(T)}$  of Boolean algebras. As before, we let  $\mathbf{BA}_\wedge$  denote the category with Boolean algebras as objects and finite-meet preserving functions as morphisms.

**Definition 4.3 ( $T$ -BAO)** Let  $T$  be a VPF. A  $T$ -sorted Boolean algebra with operators,  $T$ -BAO, consists of a functor  $\Phi : \mathbf{Ing}(T)^{\text{op}} \rightarrow \mathbf{BA}_\wedge$ , together with an additional map  $\mathbf{next} : \Phi(T) \rightarrow \Phi(\mathbb{I})$  which preserves all Boolean operations. This functor is required to meet the conditions (1)  $\Phi(\mathbb{K}) = \mathbf{CIP}\mathbb{K}$ , (2) the functions  $\Phi(\pi_i)$  and  $\Phi(\text{ev}(d))$  are Boolean homomorphisms, and (3) the functions  $\Phi(\kappa_i)$  induced by the injection paths satisfy (3a)  $\Phi(\kappa_1)(\perp) = -\Phi(\kappa_2)(\perp)$  and (3b)  $-\Phi(\kappa_i)(\perp) \leq (\Phi(\kappa_i)(-\alpha) \leftrightarrow -\Phi(\kappa_i)(\alpha))$ .

**Example 4.4** Let  $\mathbb{A} = (A, \wedge, -, \perp, \top, g)$  be a modal algebra, cf. Definition 3.1. This algebra can be represented by two different  $\mathbb{VI}$ -BAOs. Note that  $\mathbf{Ing}(\mathbb{VI}) = \{\mathbb{I}, \mathbb{VI}\}$  and  $\mathbb{VI} \xrightarrow{\vee} \mathbb{I}$ .

- (1)  $\Phi(\mathbb{I}) := \mathbb{A}$ ,  $\Phi(\mathbb{VI}) := \mathbb{A}$ ,  $\Phi(\mathbb{V}) := g$ , and  $\mathbf{next} = \text{id}$ .
- (2)  $\Phi'(\mathbb{I}) := \mathbb{A}$ ,  $\Phi'(\mathbb{VI}) := H\mathbb{A}$  (cf. Proposition 3.12),  $\Phi'(\mathbb{V}) : \Phi'(\mathbb{I}) \hookrightarrow \Phi'(\mathbb{VI})$  the (meet-preserving) inclusion of generators, and  $\mathbf{next}'$  the unique Boolean algebra morphism satisfying  $\mathbf{next}' \circ \Phi'(\mathbb{V}) = g$ .

We will see that  $(\Phi', \mathbf{next}')$  is the  $\mathbb{VI}$ -BAO obtained by considering the algebra  $(\Phi, \mathbf{next})$  from (1) as a  $\mathbb{VI}$ -coalgebra and translating it back to an algebra, that is, in the notation we are about to introduce,  $(\Phi', \mathbf{next}') = \mathcal{AC}(\Phi, \mathbf{next})$ .

**Remark 4.5 ( $T$ -BAOs as Many-Sorted Algebras)** The definition of a  $T$ -BAO as a functor  $\Phi : \mathbf{Ing}(T)^{\text{op}} \rightarrow \mathbf{BA}_\wedge$  reminds one of Lawvere's functorial semantics and, indeed, as suggested by a referee,  $T$ -BAOs can be represented as many-sorted algebras (this point of view will be applied in Remarks 4.10, 4.15, 5.9, 5.12). The following description can be read as an unfolding of Definitions 4.3 and 4.1. The sorts are the ingredients  $S$  of  $T$ . There are unary operation symbols  $[\pi_i]$ ,  $[\kappa_i]$ ,  $[\text{ev}(d)]$ ,  $[\mathbb{V}]$ ,  $\mathbf{next}$  of type  $S_i \rightarrow S_1 \times S_2$ ,  $S_i \rightarrow S_1 + S_2$ ,  $S \rightarrow S^D$ ,  $S \rightarrow \mathbb{V}S$ ,  $T \rightarrow \mathbb{I}$ , respectively, constants  $q \in \mathbb{K}$ , and Boolean

operation symbols for each sort. The equations are: for the  $q \in \mathbb{K}$  all equations that hold in  $\mathbb{K}$ ; for the Boolean operations of each sort the equations of Boolean algebra; equations forcing all unary operation symbols (apart from Boolean complementation) to preserve finite meets; equations expressing that  $[\pi_i]$ ,  $[\text{ev}(d)]$ ,  $\text{next}$  preserve all Boolean structure; and finally,  $[\kappa_1]\perp = -[\kappa_2]\perp$  and  $-[\kappa_i]\perp \leq ([\kappa_i]-v \leftrightarrow -[\kappa_i]v)$ ,  $v$  a variable.

**Remark 4.6 (Many-Sorted Coalgebraic Modal Logic)** From the perspective of modal logic, what we are dealing with here is a sorted modal language, the formulas of which coincide with the set of terms described in the previous remark. Whereas the  $T$ -BAOs can be seen to give the algebraic semantics for this language, we can also provide it with a more standard semantics (from the point of view of modal logic) in which the *intended* general frames are derived from  $T$ -coalgebras. The idea is very simple: a  $T$ -coalgebra  $(\mathbb{X}, c)$  is represented as a *sorted frame*  $\mathbb{F}_T(\mathbb{X}, c)$ . This frame has a domain  $\mathbb{F}_S = S(\mathbb{X})$  for each ingredient  $S$  of  $T$ , a point-closed relation (see page 8)  $R_p$  from  $S_1(\mathbb{X})$  to  $S_2(\mathbb{X})$  for each path  $S_1 \xrightarrow{p} S_2$ , and, finally, a point-closed relation  $R_{\text{next}}$  from  $\mathbb{X}$  to  $T(\mathbb{X})$ . These relations are determined by the path and the coalgebra: for instance, for  $\mathbb{V}(S) \xrightarrow{\mathbb{V}} S$ , the relation  $R_{\mathbb{V}}$  is simply the converse membership relation, the relation  $R_{\pi_i}$  is the graph of the  $i$ -th projection map, etc. Finally, the relation  $R_{\text{next}}$  is the graph of the coalgebra map  $c$ .

It is then a natural question how to axiomatise the *logic* corresponding to this semantics, i.e. to generate the collection of formulas that are valid in the class of these coalgebraic frames. The system  $\text{MSML}_\tau$  of Jacobs [17, Definition 3.2] aims at precisely this—for the set based coalgebras that is, but this makes no difference here. The link between Jacobs’s logic and the equational theory described in Remark 4.5 is very tight: the second is the algebraisation of the first one. This means, for instance, that as modal axioms for Jacobs’s logic we can take the formulas  $\varphi \leftrightarrow \psi$  such that  $\varphi = \psi$  is one of the equations given in the previous remark.

This modal perspective provides another way of understanding these equations. Basically they try to grasp as many properties of the just described accessibility relations as is ‘modally possible’. For instance, requiring a modality to preserve all Boolean structure forces the accessibility relation to be functional. The axioms for the coproduct modalities  $[\kappa_i]$  are modal/equational ways of saying that each point in the coproduct has an  $R_{\kappa_i}$ -successor for exactly one  $i$ , and that this successor is unique.

The following is the natural generalisation of the notion of a homomorphism between modal algebras.

**Definition 4.7 ( $\text{BAO}_T$ )** A *morphism* between  $T$ -BAOs  $(\Phi', \text{next}') \rightarrow (\Phi, \text{next})$  is a natural transformation  $t : \Phi' \rightarrow \Phi$  such that for each ingredient  $S$  of  $T$  the component  $t_S : \Phi'(S) \rightarrow \Phi(S)$  preserves the Boolean structure,  $t_{\mathbb{K}} = \text{id}_{\text{Cl}_{\mathbb{P}_{\mathbb{K}}}}$  for all constants  $\mathbb{K} \in \text{Ing}(T)$ , and  $t_{\mathbb{I}}$  and  $t_T$  satisfy  $\text{next} \circ t_T = t_{\mathbb{I}} \circ \text{next}'$ . This yields the category  $\text{BAO}_T$ .  $\triangleleft$

It is not difficult to transform a  $T$ -coalgebra into a  $T$ -BAO; basically, we are dealing with a sorted version of Stone duality, together with a path-indexed predicate lifting. We omit the fairly straightforward proofs.

**Definition 4.8** Let  $T$  be a VPF and let  $\mathbb{X} = (X, \tau)$  be a Stone space. Then the following definition on the complexity of paths

$$\begin{aligned}
\alpha^\diamond &:= \alpha \\
\alpha^{\pi_1 \cdot p} &:= \pi_1^{-1}(\alpha^p) \\
\alpha^{\pi_2 \cdot p} &:= \pi_2^{-1}(\alpha^p) \\
\alpha^{\kappa_1 \cdot p} &:= \kappa_1(\alpha^p) \cup \kappa_2 S_2(X) && \text{for } T_2 = S_1 + S_2 \\
\alpha^{\kappa_2 \cdot p} &:= \kappa_1 S_1(X) \cup \kappa_2(\alpha^p) && \text{for } T_2 = S_1 + S_2 \\
\alpha^{\text{ev}(d) \cdot p} &:= \pi_d^{-1}(\alpha^p) \\
\alpha^{\mathbb{V} \cdot p} &:= \{ \beta \mid \beta \subseteq \alpha^p \text{ and } \beta \text{ closed} \} (= [\exists] \alpha)
\end{aligned}$$

provides, for any path  $T_1 \xrightarrow{p} T_2$ , a so-called *predicate lifting*

$$(-)^p : \text{Clp}_{T_2 \mathbb{X}} \rightarrow \text{Clp}_{T_1 \mathbb{X}}.$$

**Lemma and Definition 4.9 (A)** For each Vietoris polynomial functor  $T$ , each  $T$ -coalgebra  $(\mathbb{X}, c)$  gives rise to a  $T$ -BAO, namely, the ‘complex algebra’ functor  $\mathcal{A}(\mathbb{X}, c) : \text{Ing}(T)^{\text{op}} \rightarrow \text{BA}_\wedge$  given by

$$\begin{aligned}
S &\mapsto \text{Clp}S(\mathbb{X}) \\
(S_1 \xrightarrow{p} S_2) &\mapsto ((-)^p : \text{Clp}S_2(\mathbb{X}) \rightarrow \text{Clp}S_1(\mathbb{X})),
\end{aligned}$$

accompanied by the map  $\text{next} : \text{Clp}(T\mathbb{X}) \rightarrow \text{Clp}(\mathbb{X})$  given by  $\text{next} := c^{-1}$ .

**Remark 4.10 (Semantics of Many-Sorted Coalgebraic Modal Logic)** Note that each path gives rise to a term for the many-sorted signature of Remark 4.5. Indeed, let  $(-)^*$  be the mapping from paths to terms defined by  $\langle \rangle^* = v$  for a variable  $v$  of appropriate type,  $(l \cdot p)^* = [l](p^*)$  for  $l \in \{\pi_1, \pi_2, \kappa_1, \kappa_2, \text{ev}(d), \mathbb{V}\}$ . In the light of Remark 4.6, the predicate lifting  $(-)^p$  corresponds to the operation  $[R_p]$  defined from the relation  $R_p$  as in Example 3.5(3). Dually, given a  $T$ -coalgebra  $(\mathbb{X}, c)$  and a path  $T_1 \xrightarrow{p} T_2$  between two ingredients of  $T$ ,  $(-)^p$  is nothing else but the interpretation of the term  $p^*$  in the dual algebra  $\mathcal{A}(\mathbb{X}, c)$ .

Since the semantics of modal operators is given by predicate liftings (cf. [17, Definition 3.4]), it is now clear that the semantics of formulae  $\varphi$  of sort  $\mathbb{I}$  in the coalgebraic frame

semantics of Remark 4.6 amounts to

$$(\mathbb{X}, c), x \models \varphi \Leftrightarrow x \in \varphi^{\mathcal{A}(\mathbb{X}, c)}$$

where  $x$  is an element of  $\mathbb{X}$  and  $\varphi^{\mathcal{A}(\mathbb{X}, c)}$  is the interpretation of the term  $\varphi$  in the algebra  $\mathcal{A}(\mathbb{X}, c)$ . It follows  $(\mathbb{X}, c) \models \varphi \Leftrightarrow \mathcal{A}(\mathbb{X}, c) \models \varphi = \top$ . This is in line with the duality theory of modal logics, see e.g. [8, Section 5.2]. The name ‘complex algebra’ stems from this tradition.

Conversely, with each  $T$ -BAO  $\Phi$  we want to associate a  $T$ -coalgebra  $\mathcal{C}(\Phi)$ . Assume that  $T$  has the identity functor as an ingredient; given our results in the previous section, it seems fairly obvious that we should take the dual Stone space  $\mathbf{Sp} \Phi(\mathbb{I})$  as the carrier of this dual coalgebra. It remains to define a  $T$ -coalgebra structure on this. Applying duality theory to the Boolean algebras obtained from  $\Phi$  only seems to provide information on the spaces  $\mathbf{Sp} \Phi(S)$ , whereas we need to work with  $S(\mathbf{Sp} \Phi(\mathbb{I}))$  in order to define a  $T$ -coalgebra. Fortunately, in the next lemma and definition we show that there exists a map  $r$  which produces the  $S$ -structure (see Remark 4.15 for an alternative way). The definition of  $r$  is as in [17]; what we have to show is that it works also in the topological setting.

**Lemma and Definition 4.11** ( $r_\Phi$ ) *Let  $T$  be a VPF and let  $(\Phi, \text{next})$  be a  $T$ -BAO. Then the following definition by induction on the structure of ingredient functors of  $T$*

$$\begin{aligned} r_\Phi(\mathbb{I})(U) &:= U \\ r_\Phi(\mathbb{K})(U) &:= (\epsilon_{\mathbb{K}})^{-1} \quad (\text{cf. Def. 2.4}) \\ r_\Phi(S_1 \times S_2)(U) &:= \langle r_\Phi(S_1)(\Phi(\pi_1)^{-1}(U)), r_\Phi(S_2)(\Phi(\pi_2)^{-1}(U)) \rangle \\ r_\Phi(S_1 + S_2)(U) &:= \begin{cases} \kappa_1 r_\Phi(S_1)(\Phi(\kappa_1)^{-1}(U)) & \text{if } -\Phi(\kappa_1)(\perp) \in U \\ \kappa_2 r_\Phi(S_2)(\Phi(\kappa_2)^{-1}(U)) & \text{if } -\Phi(\kappa_2)(\perp) \in U \end{cases} \\ r_\Phi(S^D)(U) &:= \lambda d \in D. r_\Phi(S)(\Phi(\text{ev}(d))^{-1}(U)) \\ r_\Phi(\mathbb{V}S)(U) &:= \{ r_\Phi(S)(V) \mid V \in \mathbf{Sp} \Phi(S) \text{ and } \Phi(\mathbb{V})^{-1}(U) \subseteq V \} \end{aligned}$$

defines, for every  $S \in \text{Ing}(T)$  a continuous map

$$r_\Phi(S) : \mathbf{Sp}(\Phi(S)) \longrightarrow S(\mathbf{Sp}(\Phi(\mathbb{I}))).$$

Furthermore, the inverse image map  $\text{next}^{-1}$  is a continuous map

$$\text{next}^{-1} : \mathbf{Sp}(\Phi(\mathbb{I})) \longrightarrow T(\mathbf{Sp}(\Phi(\mathbb{I}))).$$

**Remark 4.12** Perhaps this definition makes somewhat more sense when seen from the modal perspective of Remark 4.6. Suppose that we allow ‘non-standard’  $T$ -coalgebras in the semantics of our modal language. These would be many-sorted frames  $\mathbb{F}$  as well, with a Stone space  $\mathbb{F}_S$  for each ingredient functor  $S$ , point-closed relations  $R_p$  from  $\mathbb{F}_{S_1}$  to  $\mathbb{F}_{S_2}$  for each path  $S_1 \xrightarrow{p} S_2$ , and a point-closed relation  $R_{\text{next}}$  from  $\mathbb{F}_{\mathbb{I}}$  to  $\mathbb{F}_T$ . Let us agree to call such structures *pseudo-coalgebras* if they validate the (modal versions of the) axioms of Definition 4.4. Note that we may extract such a pseudo-coalgebra from every  $T$ -BAOs, simply by taking Stone duals ingredientwise, and defining point-closed relations between the sorts as in Example 3.5(3).

It then follows from the standard modal theory of canonicity and correspondence, that pseudo-coalgebras are rather similar to real coalgebraic frames; for instance, while  $\mathbb{F}_{S_1 \times S_2}$  need not be the product in **Stone** of  $\mathbb{F}_{S_1}$  and  $\mathbb{F}_{S_2}$ , it will certainly be the case that each  $R_{\pi_i}$  is a *functional* relation between  $\mathbb{F}_{S_1 \times S_2}$  and  $\mathbb{F}_{S_i}$ .

It is this pseudo-coalgebraic structure that allows the definition of the maps  $r(S) : \mathbb{F}_S \rightarrow S(\mathbb{X})$ . For instance, suppose that  $r$  has been defined for  $S_1$  and  $S_2$ ; then we define it for  $S_1 \times S_2$  by putting  $r(S_1 \times S_2)(U) := (r(S_1)(U_1), r(S_2)(U_2))$  where each  $U_i$  is the unique element of  $\mathbb{F}_{S_i}$  such that  $R_{\pi_i} U U_i$ . The family  $r$  of maps can thus be seen as the natural attempt to link the pseudo-coalgebra to a proper coalgebra over  $\mathbb{F}_{\mathbb{I}}$ .

**Proof.** Let  $S \in \text{Ing}(T)$ . Both claims (i.e. the one on well-definedness and the one on the continuity of  $r_{\Phi}(S)$ ) are proven simultaneously by induction on  $S$ .

We only consider the case of the Vietoris functor: assume that  $S = \mathbb{V}S'$ . In order to show that  $r_{\Phi}(S)$  is well-defined, take an arbitrary  $U \in \text{Sp } \Phi(\mathbb{V}S')$  and consider the set  $F := \{V \mid V \in \text{Sp } \Phi(S') \text{ and } \Phi(\mathbb{V})^{-1}(U) \subseteq V\}$ .  $F$  is closed in  $S'(\text{Sp } \Phi(\mathbb{I}))$ , because for any  $V' \in S'(\text{Sp } \Phi(\mathbb{I})) \setminus F$  there is an  $a \in \Phi(\mathbb{V})^{-1}(U)$  such that  $a \notin V'$ , whence  $F \subseteq \hat{a}$  and  $V' \not\subseteq \hat{a}$ : for every  $V' \notin F$  we can find an open set containing  $V'$  and disjoint from  $F$ . But from  $F$  being closed and the inductive hypothesis on  $r_{\Phi}(S')$  it follows that  $r_{\Phi}(S')[F]$  is closed as well, so by definition,  $r_{\Phi}(S)(U) = r_{\Phi}(S')[F]$  belongs to  $K(S'(\text{Sp } \Phi(\mathbb{I})))$ . This proves that  $r_{\Phi}(S)$  is well-defined.

We now turn to the continuity of  $r_{\Phi}(S)$ . It suffices to show that for an arbitrary clopen set  $O \subseteq S'(\text{Sp } \Phi(\mathbb{I}))$ , all sets of the form  $r_{\Phi}(\mathbb{V}S')^{-1}([\exists](O))$  and  $r_{\Phi}(\mathbb{V}S')^{-1}(\langle \exists \rangle(O))$  are clopen. We only consider sets of the first kind:

$$\begin{aligned} r_{\Phi}(\mathbb{V}S')^{-1}([\exists](O)) &= \{U \in \text{Sp } \Phi(\mathbb{V}S') \mid r_{\Phi}(\mathbb{V}S')(U) \in [\exists](O)\} \\ &= \{U \mid \{r_{\Phi}(S')(V) \mid \Phi(\mathbb{V})^{-1}(U) \subseteq V\} \subseteq O\} \\ &= \{U \mid \{V \mid \Phi(\mathbb{V})^{-1}(U) \subseteq V\} \subseteq r_{\Phi}(S')^{-1}(O)\} \end{aligned}$$

According to the induction hypothesis,  $r_{\Phi}(S')^{-1}(O)$  is a clopen set, say with  $b \in \Phi(S')$



such that  $r_\Phi(S')^{-1}(O) = \hat{b}$ . This leads us to

$$\begin{aligned} r_\Phi(\mathbb{V}S')^{-1}([\exists](O)) &= \{U \mid \{V \mid \Phi(\mathbb{V})^{-1}(U) \subseteq V\} \subseteq \hat{b}\} \\ &= \{U \mid \forall V \in \mathbf{Sp} \Phi(S'). (\Phi(\mathbb{V})^{-1}(U) \subseteq V \rightarrow b \in V)\} \\ &\stackrel{(!)}{=} \{U \mid \Phi(\mathbb{V})(b) \in U\} \end{aligned}$$

which shows that  $r_\Phi(\mathbb{V}S')^{-1}([\exists](O))$  is clopen. The proof of (!) is standard in the representation theory of modal algebras.

Finally, the claim on the map  $\mathbf{next}^{-1}$  is a simple consequence of Stone duality.  $\square$

The above lemma allows us to define a  $T$ -coalgebra for a given  $T$ -BAO.

**Definition 4.13** ( $\mathcal{C}$ ) Let  $T$  be a VPF and let  $(\Phi, \mathbf{next})$  be a  $T$ -BAO. We define the coalgebra  $\mathcal{C}(\Phi, \mathbf{next})$  as the structure  $(\mathbf{Sp}(\Phi(\mathbb{I})), r_\Phi(T) \circ \mathbf{Sp}(\mathbf{next}))$ .  $\triangleleft$

The maps  $\mathcal{A}$  and  $\mathcal{C}$  that allow us to move from a given  $T$ -BAO to a  $T$ -coalgebra and vice versa, can be extended to functors. Fix a Vietoris polynomial functor  $T$ , and let  $f : (\mathbb{X}, c) \rightarrow (\mathbb{X}', c')$  be a  $\mathbf{Coalg}(T)$ -morphism. Then we define  $\mathcal{A}(f) : \mathcal{A}(\mathbb{X}', c') \rightarrow \mathcal{A}(\mathbb{X}, c)$  as follows. For each  $S \in \mathbf{Ing}(T)$  let  $\mathcal{A}(f)(S) := \mathbf{Clp}(S(f))$ . Naturality of  $\mathcal{A}(f)$  can be proven by induction on paths and the additional condition in Definition 4.7 concerning the  $\mathbf{next}$  functions is fulfilled because  $f$  is a  $T$ -coalgebra homomorphism.

Conversely, given a  $\mathbf{BAO}_T$ -morphism  $t : (\Phi, \mathbf{next}) \rightarrow (\Phi', \mathbf{next}')$ , define the map  $\mathcal{C}(t) : \mathbf{Sp}(\Phi'(\mathbb{I})) \rightarrow \mathbf{Sp}(\Phi(\mathbb{I}))$  to be the inverse image map of  $t_{\mathbb{I}} : \Phi(\mathbb{I}) \rightarrow \Phi'(\mathbb{I})$ . We leave it to the reader to verify that  $\mathcal{C}(t)$  is in fact a  $\mathbf{Coalg}(T)$  morphism between  $\mathcal{C}(\Phi, \mathbf{next})$  and  $\mathcal{C}(\Phi', \mathbf{next}')$  (cf. the proof of Proposition 5.3 in [17]).

**Proposition 4.14** *If we extend  $\mathcal{A}$  and  $\mathcal{C}$  as described above we obtain functors*

$$\mathcal{A} : \mathbf{Coalg}(T)^{\text{op}} \rightarrow \mathbf{BAO}_T \quad \text{and} \quad \mathcal{C} : \mathbf{BAO}_T \rightarrow \mathbf{Coalg}(T)^{\text{op}}.$$

**Remark 4.15** As pointed out by a referee, an alternative construction of the coalgebra  $\mathcal{C}(\Phi)$  can be given which avoids reasoning element-wise about ultrafilters. It uses that each of the type constructors  $\times, +, (-)^D, \mathbb{V}$  has a dual on Boolean algebras that can be described by generators and relations, see Vickers [33]. For example,  $\mathbb{V}^\partial \mathbb{A}$  is generated by symbols  $[\mathbb{V}]a, a \in A$ , and relations expressing that the insertion of generators  $[\mathbb{V}] : \mathbb{A} \rightarrow \mathbb{V}^\partial \mathbb{A}$  preserves finite meets ( $\mathbb{V}^\partial$  is the  $H$  of Remark 3.13 where we write now  $[\mathbb{V}]a$  instead of  $\square a$  to emphasise the connection with Definition 4.1 and Remark 4.5); the dual  $\times^\partial$  of  $\times$  is the coproduct of algebras, that is,  $\mathbb{A}_1 \times^\partial \mathbb{A}_2$  is generated by symbols  $[\pi_i]a, a \in A_i, i = 1, 2$ , and relations expressing that the insertion of generators  $[\pi_i] :$

$\mathbb{A}_i \rightarrow \mathbb{A}_1 \times^\partial \mathbb{A}_2$  are algebra morphisms; etc. For a VPF  $T$ , let  $T^\partial$  be the dual functor constructed from  $\times^\partial, +^\partial, ((-)^D)^\partial, \mathbb{V}^\partial$ . From the presentation of a  $T$ -BAO  $\Phi$  as a many-sorted algebra (Remark 4.5) and the fact that each of the constructions  $\mathbb{V}^\partial, \times^\partial, \dots$  used in  $T^\partial$  is a *free* algebra modulo equations satisfied by  $\Phi$  we obtain, by induction on the ingredients  $S$  of  $T$ , a family of morphisms

$$q_\Phi(S) : S^\partial(\Phi(\mathbb{I})) \rightarrow \Phi(S).$$

$q_\Phi$  allows us to transform  $T$ -BAOs  $\Phi$  into  $T^\partial$ -algebras  $T^\partial(\Phi(\mathbb{I})) \xrightarrow{q_\Phi(T)} \Phi(T) \xrightarrow{\text{next}} \Phi(\mathbb{I})$  whose dual then is  $\mathcal{C}(\Phi)$ .<sup>2</sup> Conversely, to define the  $T$ -BAO  $\Phi = \mathcal{A}(\mathbb{X}, c)$  as a many-sorted algebra, we let  $\Phi(S) = \text{Clp}S(\mathbb{X})$  and the interpretation of the operation symbols is given by the insertion of generators, e.g.  $\Phi(S) = \text{Clp}S(\mathbb{X}) \xrightarrow{[\mathbb{V}]} \mathbb{V}^\partial(\text{Clp}S(\mathbb{X})) \cong \Phi(\mathbb{V}S)$  is the interpretation of the operation symbol  $[\mathbb{V}]$  in  $\Phi$ .

Finally, note that the description of the dual type constructors  $\times^\partial, +^\partial, ((-)^D)^\partial, \mathbb{V}^\partial$  by generators and relations also offers an explanation of the notion of a  $T$ -BAO. Indeed, the operations and equations defining  $T$ -BAOs in Remark 4.5 correspond to the generators and relations describing the dual type constructors.

## 5 Vietoris Polynomial Functors: representation and duality theorems

In the previous section we encountered functors

$$\mathcal{A} : \text{Coalg}(T)^{\text{op}} \rightarrow \text{BAO}_T \quad \text{and} \quad \mathcal{C} : \text{BAO}_T \rightarrow \text{Coalg}(T)^{\text{op}}.$$

Here we will study these functors in more detail, and show that in fact they provide an adjunction between the categories  $\text{BAO}_T$  and  $\text{Coalg}(T)$ . We will define two families of morphisms,  $\alpha_\Phi : \mathcal{A}\Phi \rightarrow \Phi$  in  $\text{BAO}_T$ , and  $\gamma_{(\mathbb{X}, c)} : (\mathbb{X}, c) \rightarrow \mathcal{C}\mathcal{A}(\mathbb{X}, c)$  in  $\text{Coalg}(T)^{\text{op}}$ ; and prove that these are the unit and counit witnessing the fact that  $\mathcal{A}$  is left adjoint to  $\mathcal{C}$ . Since the  $\gamma$ 's will turn out to be isomorphisms, this will then show that  $\text{Coalg}(T)^{\text{op}}$  is (isomorphic to) a full coreflective subcategory of  $\text{BAO}_T$ .

In contrast to the classical case of the duality  $\text{MA} \simeq \text{DGF}^{\text{op}}$ , we do not obtain a dual equivalence between  $\text{BAO}_T$  and  $\text{Coalg}(T)$ . This is due to the fact, which the reader might have noticed already, that the axiomatic definition of  $T$ -BAOs does not force a  $T$ -BAO  $\Phi$  to respect  $T$ -structure. We take a closer look at this, characterising the largest full subcategory of  $\text{BAO}_T$  on which the adjunction restricts to an equivalence. By

<sup>2</sup> The map  $r_\Phi$  which is used to construct  $\mathcal{C}(\Phi)$  in Definition 4.13 arises from the dual of  $q_\Phi$  as  $r_\Phi(T) = \text{Sp}(\Phi(T)) \xrightarrow{\text{Sp}(q_\Phi(T))} \text{Sp}T^\partial(\Phi(\mathbb{I})) \xrightarrow{\cong} T \text{Sp}(\Phi(\mathbb{I}))$ .

showing that the initial algebra of  $\mathbf{BAO}_T$  is *exact*, that is, belongs to this subcategory, we obtain the final  $T$ -coalgebra as its dual.

We start by proving that every  $T$ -coalgebra has an ‘ultrafilter representation’: it is isomorphic to its double dual. Recall from Definition 2.4 that for a Stone space  $\mathbb{Y}$ ,  $\epsilon_{\mathbb{Y}} : \mathbb{Y} \rightarrow \mathbf{Sp} \mathbf{Clp} \mathbb{Y}$  denotes the homeomorphism fixed by  $\epsilon_{\mathbb{Y}}(y) := \{a \in \mathbf{Clp}_{\mathbb{Y}} \mid y \in a\}$ .

**Theorem 5.1** *Let  $T$  be a Vietoris polynomial functor, and let  $(\mathbb{X}, c)$  be a  $T$ -coalgebra. Then the map  $\epsilon_{\mathbb{X}} : \mathbb{X} \rightarrow \mathbf{Sp}(\mathbf{Clp}(\mathbb{X}))$  is a  $\mathbf{Coalg}(T)$ -isomorphism witnessing that*

$$(\mathbb{X}, c) \cong \mathcal{C}(\mathcal{A}(\mathbb{X}, c)).$$

**Proof.** We first show that for each sort  $S \in \mathbf{Ing}(T)$  the following diagram commutes:

$$\begin{array}{ccc} \mathbf{Sp} \mathcal{A}(\mathbb{X}, c)(S) & \xrightarrow{r_{\mathcal{A}(\mathbb{X}, c)}(S)} & S(\mathbf{Sp} \mathcal{A}(\mathbb{X}, c)(\mathbb{I})) \\ & \searrow^{\epsilon_{S\mathbb{X}}} & \downarrow^{S(\epsilon_{\mathbb{X}})} \\ & & S\mathbb{X} \end{array}$$

The proof is by induction on  $S$ . We will only treat the Vietoris functor, since all other cases work exactly as in the proof of Lemma 5.6 in [17]. In order to prove the commutativity of the above diagram for  $S = \mathbb{V}S'$ , take an arbitrary  $F \in \mathbb{V}S'(\mathbb{X})$ . Then, unravelling the definitions of  $r$ ,  $\mathcal{A}$  and of  $(\cdot)^{\mathbb{V}}$ , we find

$$\begin{aligned} r_{\mathcal{A}(\mathbb{X}, c)}(S)(\epsilon_{S\mathbb{X}}(F)) &= r_{\mathcal{A}(\mathbb{X}, c)}(S')[\{V \mid \mathcal{A}(\mathbb{X}, c)(\mathbb{V})^{-1}(\epsilon_{S\mathbb{X}}(F)) \subseteq V\}] \\ &= r_{\mathcal{A}(\mathbb{X}, c)}(S')[\{V \mid \{\alpha \in \mathbf{Clp}_{S'\mathbb{X}} \mid (\alpha)^{\mathbb{V}} \in \epsilon_{S\mathbb{X}}(F)\} \subseteq V\}] \\ &= r_{\mathcal{A}(\mathbb{X}, c)}(S')[\{V \mid \{\alpha \in \mathbf{Clp}_{S'\mathbb{X}} \mid F \subseteq \alpha\} \subseteq V\}] \\ &\stackrel{(!)}{=} \{S'(\epsilon_{\mathbb{X}})(u) \mid u \in F\} \\ &= S(\epsilon_{\mathbb{X}})(F). \end{aligned}$$

It is left to prove (!). For  $(\supseteq)$ , take an arbitrary  $u \in F$ , and define  $V_u := \epsilon_{S'\mathbb{X}}(u)$ . Then for all  $a \in \mathbf{Clp}_{S'\mathbb{X}}$  it holds that  $F \subseteq a$  implies  $u \in a$ , which is equivalent to  $a \in \epsilon_{\mathbb{X}}(u) = V_u$ ; in other words,  $V_u$  satisfies the condition  $\{\alpha \mid F \subseteq \alpha\} \subseteq V_u$ . Also, by the inductive hypothesis we have that  $S'(\epsilon_{\mathbb{X}})(u) = r_{\mathcal{A}(\mathbb{X}, c)}(S')(\epsilon_{S_1\mathbb{X}}(u))$ . Taking these observations together we see that  $S'(\epsilon_{\mathbb{X}})(u) \in r_{\mathcal{A}(c)}(S')[\{V \mid \{\alpha \in \mathbf{Clp}_{S'\mathbb{X}} \mid F \subseteq \alpha\} \subseteq V\}]$ .

For  $(\subseteq)$ , let  $V \in \mathbf{Sp} \mathcal{A}(\mathbb{X}, c)(S')$  be such that  $\{\alpha \in \mathbf{Clp}_{S'\mathbb{X}} \mid F \subseteq \alpha\} \subseteq V$ . By Stone duality we know that  $\bigcap_{\alpha \in V} \alpha = \{u\}$  for exactly one  $u \in S'\mathbb{X}$ . This  $u$  must be an element of  $F$ , because  $\bigcap_{\alpha \in V} \alpha \subseteq \bigcap\{\alpha \mid F \subseteq \alpha\} = F$  and we get  $\epsilon_{S'\mathbb{X}}(u) = V$ . By

the induction hypothesis this is the same as saying  $r_{\mathcal{A}(\mathbb{X},c)}(S')(V) = S'(\epsilon_X)(u)$ , which proves the inclusion.

Now we proceed to prove the theorem: we calculate

$$\begin{aligned} \mathcal{C}(\mathcal{A}(\mathbb{X}, c)) \circ \epsilon_{\mathbb{X}} &= (r_{\mathcal{A}(\mathbb{X},c)}(T) \circ \mathbf{Sp} \mathbf{Clp}(c)) \circ \epsilon_{\mathbb{X}} = r_{\mathcal{A}(\mathbb{X},c)}(T) \circ (\mathbf{Sp} \mathbf{Clp}(c) \circ \epsilon_{\mathbb{X}}) \\ &= r_{\mathcal{A}(\mathbb{X},c)}(T) \circ (\epsilon_{T\mathbb{X}} \circ c) \quad = (r_{\mathcal{A}(\mathbb{X},c)}(T) \circ \epsilon_{T\mathbb{X}}) \circ c, \end{aligned}$$

where the third step is by naturality of  $\epsilon$ . Now by commutativity of the above diagram for  $T$  we find that  $\mathcal{C}(\mathcal{A}(\mathbb{X}, c)) \circ \epsilon_{\mathbb{X}} = T(\epsilon_{\mathbb{X}}) \circ c$ , which is nothing but stating that  $\epsilon_{\mathbb{X}}$  is a coalgebra homomorphism. But then since  $\epsilon_{\mathbb{X}}$  is an isomorphism between Stone spaces we may conclude that it is also an isomorphism between the two given coalgebras. QED

The functor  $\mathcal{C}$  is not faithful in general; however, when it comes to morphisms having a complex algebra  $\mathcal{A}(\mathbb{X}, c)$  as their domain, we can prove the following.

**Proposition 5.2** *Let  $(\mathbb{X}, c)$  be a  $T$ -coalgebra and  $\Phi$  be a  $T$ -BAO. Furthermore let  $v, v' : \mathcal{A}(\mathbb{X}, c) \rightarrow \Phi$  be morphisms in  $\mathbf{BAO}_T$ . Then  $\mathcal{C}(v) = \mathcal{C}(v')$  implies  $v = v'$ .*

**Proof.** Let  $(\mathbb{X}, c)$ ,  $\Phi$ ,  $v$  and  $v'$  be as in the statement of the Proposition, and assume that  $\mathcal{C}(v) = \mathcal{C}(v')$ . Then it is clear that we have  $v_{\mathbb{I}} = v'_{\mathbb{I}}$ . With the help of Lemma 5.3 below we therefore get  $v = v'$ . QED

The following lemma, which forms the heart of the proof of Proposition 5.2, is stated separately because we need it again further on.

**Lemma 5.3** *Let  $(\mathbb{X}, c)$  be a  $T$ -coalgebra and  $\Phi$  a  $T$ -BAO. Furthermore let  $v, v' : \mathcal{A}(\mathbb{X}, c) \rightarrow \Phi$  be natural transformations whose components preserve all the Boolean structure,  $v_{\mathbb{I}} = v'_{\mathbb{I}}$  and  $v_{\mathbb{K}} = v'_{\mathbb{K}}$  for all constants  $\mathbb{K} \in \mathbf{Ing}(T)$ . Then  $v = v'$ .*

**Proof.** Assume that we have two natural transformations  $v, v' : \mathcal{A}(\mathbb{X}, c) \rightarrow \Phi$  as required in the lemma. In order to prove that  $v = v'$ , it suffices to show that

$$v_S = v'_S \text{ for all } S \in \mathbf{Ing}(T). \quad (3)$$

We will prove (3) by induction on  $S$ . In the base case ( $S = \mathbb{I}$  or  $S = \mathbb{K}$  for some constant functor  $\mathbb{K}$ ), it follows immediately that  $v_S = v'_S$ .

For the inductive step of the proof, we confine ourselves to a rough sketch of the proof idea. In each case, in order to show that  $v_S(U) = v'_{S'}(U)$  for every clopen  $U$  of  $S\mathbb{X}$ , we try and find a clopen subbasis  $\mathcal{B}$  such that  $v_S(W) = v'_{S'}(W)$  for all subbasic  $W$ . For instance, in the case that  $S = \mathbb{V}S'$ , put

$$\mathcal{B} := \left\{ W \mid W \in (-)^{\mathbb{V}}[\mathbf{Clp}_{S'\mathbb{X}}] \right\} \cup \left\{ -W \mid W \in (-)^{\mathbb{V}}[\mathbf{Clp}_{S'\mathbb{X}}] \right\},$$

and let  $W \in \mathcal{B}$ . Then one can easily check that we have  $v_S(W) = v'_S(W)$  for all  $W \in \mathcal{B}$  and by the fact that  $\mathcal{B}$  is a clopen subspace of the Vietoris topology one can use a straightforward argument to show that  $v_S = v'_S$ . QED

We are now ready to show that the functors  $\mathcal{C} : \mathbf{BAO}_T \rightarrow \mathbf{Coalg}(T)^{\text{op}}$  and  $\mathcal{A} : \mathbf{Coalg}(T)^{\text{op}} \rightarrow \mathbf{BAO}_T$  form a so-called dual representation. That is,  $\mathcal{C}$  is right adjoint to  $\mathcal{A}$  and the unit of the adjunction is an isomorphism. We first define the unit  $\gamma$  and the counit  $\alpha$  of the adjunction. Recall that we proved in Theorem 5.1 that  $\epsilon$  is an isomorphism; for  $r_\Phi$  see Definition 4.11 and for  $i_{\Phi(S)}$  Definition 2.4.

**Definition 5.4** ( $\alpha, \gamma$ ) For a  $T$ -BAO  $(\Phi, \text{next})$  and a  $S \in \text{Ing}(T)$  we define

$$\alpha_\Phi : \mathcal{AC}(\Phi) \rightarrow \Phi$$

via  $\alpha_\Phi(S) := j_{\Phi(S)} \circ \mathbf{Clp}(r_\Phi(S))$ , where  $j_{\Phi(S)}$  denotes the inverse of the isomorphism  $i_{\Phi(S)} : \Phi(S) \rightarrow \mathbf{ClpSp} \Phi(S)$ .

For a  $T$ -coalgebra  $(\mathbb{X}, c)$ , we define

$$\gamma_{(\mathbb{X}, c)} : (\mathbb{X}, c) \rightarrow \mathcal{CA}(\mathbb{X}, c) \quad \text{in } \mathbf{Coalg}(T)^{\text{op}}$$

as the inverse  $\gamma_{(\mathbb{X}, c)} : \mathcal{CA}(\mathbb{X}, c) \rightarrow (\mathbb{X}, c)$  of the morphism  $\epsilon_{(\mathbb{X}, c)} : (\mathbb{X}, c) \rightarrow \mathcal{CA}(\mathbb{X}, c)$  in  $\mathbf{Coalg}(T)$ .  $\triangleleft$

Intuitively, the next theorem establishes a duality between  $\mathbf{Coalg}(T)$  and  $\mathbf{BAO}_T$  in which every coalgebra  $(\mathbb{X}, c)$  can be represented in a canonical way by the algebra  $\mathcal{A}(\mathbb{X}, c)$ .

**Theorem 5.5** *Let  $T$  be a VPF. Then  $\mathcal{A} : \mathbf{Coalg}(T)^{\text{op}} \rightarrow \mathbf{BAO}_T$  is a full embedding and has  $\mathcal{C} : \mathbf{BAO}_T \rightarrow \mathbf{Coalg}(T)^{\text{op}}$  as a right adjoint with  $\gamma$  and  $\alpha$  as unit and counit. That is,  $\mathbf{Coalg}(T)^{\text{op}}$  is (isomorphic to) a full coreflective subcategory of  $\mathbf{BAO}_T$ .*

Before we turn to the proof of this theorem, we first show that  $\alpha$  is indeed a morphism of  $T$ -BAOs.

**Lemma 5.6** *The family of maps  $\alpha_\Phi(-) : \mathcal{AC}\Phi \rightarrow \Phi$  is a morphism of  $T$ -BAOs.*

**Proof.** We have to show that  $\alpha_\Phi(-)$  is a natural transformation and that  $\alpha_\Phi(-)$  fulfils an additional naturality condition with respect to the **next**-operator.

Concerning the first claim we must prove that for all  $S \xrightarrow{p} S'$  in  $\text{Ing}(T)$  we have

$$\Phi(p) \circ \alpha_{\Phi(S')} = \alpha_{\Phi(S)} \circ (-)^p.$$

It suffices to show, by a case distinction, that this equation holds for paths of length at most one. As all of these proofs boil down to a tedious but straightforward unravelling

of definitions, we confine ourselves to the case that  $p = \mathbb{V}$  and  $S = \mathbb{V}S_1$ . Take an arbitrary  $U \in \mathbf{Clp}_{S_1 \mathbf{Sp} \Phi(\mathbb{I})}$  and let  $a \in \Phi(S_1)$  be such that  $\mathbf{Clp}(r_{\Phi(S_1)})(U) = \hat{a}$ . Then

$$\begin{aligned}
\alpha_{\Phi}(S)((U)^{\mathbb{V}}) &= (j_{\Phi(S)} \circ \mathbf{Clp}(r_{\Phi(S)}))((U)^{\mathbb{V}}) \\
&= (j_{\Phi(S)} \circ r_{\Phi(S)}^{-1})(\{\beta \subseteq U \mid \beta \subseteq S_1 \mathbf{Sp} \Phi(\mathbb{I}) \text{ closed}\}) \\
&= j_{\Phi(S)}(\{u \in \mathbf{Sp} \Phi(S) \mid r_{\Phi(S)}(u) \subseteq U\}) \\
&= j_{\Phi(S)}(\{u \in \mathbf{Sp} \Phi(S) \mid \{r_{\Phi(S_1)}(v) \mid \Phi(\mathbb{V})^{-1}(u) \subseteq v\} \subseteq U\}) \\
&= j_{\Phi(S)}(\{u \in \mathbf{Sp} \Phi(S) \mid \{v \mid \Phi(\mathbb{V})^{-1}(u) \subseteq v\} \subseteq \mathbf{Clp}(r_{\Phi(S_1)})(U)\}) \\
&= j_{\Phi(S)}(\{u \in \mathbf{Sp} \Phi(S) \mid \{v \mid \Phi(\mathbb{V})^{-1}(u) \subseteq v\} \subseteq \hat{a}\}) \\
&= j_{\Phi(S)}(\{u \in \mathbf{Sp} \Phi(S) \mid \Phi(\mathbb{V})^{-1}(u) \subseteq v \Rightarrow a \in v\}) \\
&= j_{\Phi(S)}(\{u \in \mathbf{Sp} \Phi(S) \mid \Phi(\mathbb{V})(a) \in u\}) \\
&= \Phi(\mathbb{V})(a) \\
&= \Phi(\mathbb{V})(j_{\Phi(S_1)} \circ \mathbf{Clp}(r_{\Phi(S_1)})(U)) \\
&= (\Phi(\mathbb{V}) \circ \alpha_{\Phi}(S_1))(U)
\end{aligned}$$

and we get  $\alpha_{\Phi}(S) \circ (-)^{\mathbb{V}} = \Phi(\mathbb{V}) \circ \alpha_{\Phi}(S_1)$ , as required.

Now we turn to the second claim. The ‘additional naturality condition with respect to the next-operator’ is the following:  $\mathbf{next} \circ \alpha_{\Phi}(T) = \alpha_{\Phi}(\mathbb{I}) \circ \mathbf{Clp}(r_{\Phi}(T) \circ \mathbf{Sp} \mathbf{next})$ . This is easily shown to hold (the second identity being due the naturality of  $j$ ).

$$\begin{aligned}
\alpha_{\Phi}(\mathbb{I}) \circ \mathbf{Clp}(r_{\Phi}(T) \circ \mathbf{Sp}(\mathbf{next})) &= j_{\Phi(\mathbb{I})} \circ \mathbf{Clp}(\mathbf{Sp}(\mathbf{next})) \circ \mathbf{Clp}(r_{\Phi}(T)) \\
&= \mathbf{next} \circ j_{\Phi(T)} \circ \mathbf{Clp}(r_{\Phi}(T)) \\
&= \mathbf{next} \circ \alpha_{\Phi}(T).
\end{aligned}$$

QED

**Proof of Theorem 5.5.** For the adjunction it suffices to show ([23], p. 81) that for all  $(\mathbb{X}, c) \in \mathbf{Stone}$  and for all  $u : \mathcal{C}(\Phi) \rightarrow (\mathbb{X}, c)$  there is a unique  $v : \mathcal{A}(\mathbb{X}, c) \rightarrow \Phi$  such that the following diagram in  $\mathbf{Coalg}(T)$  commutes:

$$\begin{array}{ccc}
\mathcal{CA}(\mathbb{X}, c) & \xrightarrow{\gamma_{\mathbb{X}}} & (\mathbb{X}, c) \\
\mathcal{C}(v) \downarrow & & \downarrow u \\
\mathcal{C}\Phi & & 
\end{array}$$

Indeed, defining  $v = \alpha_\Phi \circ \mathcal{A}(u)$ , we calculate

$$\begin{aligned}
\gamma_{\mathbb{X}} \circ \mathcal{C}(\alpha_\Phi \circ \mathcal{A}(u)) &= \gamma_{\mathbb{X}} \circ \mathbb{S}\mathbf{p}(\alpha_\Phi(\mathbb{I}) \circ \mathcal{A}(u)(\mathbb{I})) \\
&= \gamma_{\mathbb{X}} \circ \mathbb{S}\mathbf{p}(j_{\Phi(\mathbb{I})} \circ r_\Phi(\mathbb{I}) \circ \mathbb{C}\mathbf{l}\mathbf{p}(u)) \\
&= \gamma_{\mathbb{X}} \circ \mathbb{S}\mathbf{p}(\mathbb{C}\mathbf{l}\mathbf{p}(u)) \circ \mathbb{S}\mathbf{p}(j_{\Phi(\mathbb{I})}) \\
&= u \circ \gamma_{\mathbb{S}\mathbf{p}(\Phi(\mathbb{I}))} \circ \mathbb{S}\mathbf{p}(j_{\Phi(\mathbb{I})}) \\
&= u
\end{aligned}$$

The last two steps use the fact that  $\mathbb{S}\mathbf{p}$  and  $\mathbb{C}\mathbf{l}\mathbf{p}$  are adjoint with (co)units  $j$  and  $\gamma$ , see Definitions 2.4 and 5.4. Uniqueness of  $v$  is Proposition 5.2. To conclude the proof, recall that a left-adjoint is full and faithful iff the unit is an isomorphism ([23], p. 88). Hence  $\mathcal{A}$  is full and faithful by Theorem 5.1. QED

We now turn to a characterisation of the largest subcategory of  $\mathbf{BAO}_T$  on which the adjunction from Theorem 5.5 restricts to a dual equivalence. The reader might have noticed already that our adjunction is not a dual equivalence since the definition of  $T$ -BAOs does not force a  $T$ -BAO  $\Phi$  to respect  $T$ -structure. For example, if  $S_1 \times S_2$  is an ingredient of  $T$  then it may well be that  $\Phi(S_1 \times S_2) \neq \Phi(S_1) + \Phi(S_2)$ .

**Definition 5.7** Let  $S$  be a functor  $\mathbf{Stone} \rightarrow \mathbf{Stone}$ . Then

$$\hat{S} := \mathbb{C}\mathbf{l}\mathbf{p} \circ S \circ \mathbb{S}\mathbf{p}.$$

defines a corresponding functor  $\hat{S}$  on the category  $\mathbf{BA}$ . ◁

The following definition introduces *exact*  $T$ -BAOs, that is, those  $T$ -BAOs which do respect  $T$ -structure.

**Definition 5.8 (Exact  $T$ -BAO)** A  $T$ -BAO  $\Phi$  is called *exact* if there is a family of isomorphisms

$$\tau_S : \hat{S}(\Phi(\mathbb{I})) \rightarrow \Phi(S)$$

with the following properties:

- $\tau : (\hat{\_})(\Phi(\mathbb{I})) \rightarrow \Phi$  is a natural transformation, where  $(\hat{\_})(\Phi(\mathbb{I})) : \mathbf{Ing}(T)^{\text{op}} \rightarrow \mathbf{BA}_\wedge$  is defined on objects as in Definition 5.7 and on paths  $p : S_1 \xrightarrow{p} S_2$  as  $(\hat{\_})^p$  in Definition 4.8 (with  $\mathbb{X}$  here being  $\Phi(\mathbb{I})$ ).
- $\tau_{\mathbb{I}} = j_{\Phi(\mathbb{I})}$ , where again  $j_{\Phi(\mathbb{I})}$  denotes the inverse of the isomorphism  $i_{\Phi(\mathbb{I})} : \Phi(\mathbb{I}) \rightarrow \mathbb{C}\mathbf{l}\mathbf{p} \mathbb{S}\mathbf{p} \Phi(\mathbb{I})$
- $\tau_{\mathbb{K}} = \text{id}_{\mathbb{C}\mathbf{l}\mathbf{p}_{\mathbb{K}}}$  for every constant  $\mathbb{K} \in \mathbf{Ing}(T)$ .

$\mathbf{BAO}_T^e$  is the full subcategory of  $\mathbf{BAO}_T$  consisting of the exact  $T$ -BAOs. ◁

**Remark 5.9** In terms of Remark 4.5, as noted by a referee, the exact  $T$ -BAOs are those that are freely generated by  $\Phi(\mathbb{I})$ . Indeed, comparing, on the one hand, the definition of  $T$ -BAOs via operations and equations (Remark 4.5) and, on the other hand, the definition of the dual functors  $S^\partial$  by generators and relations (Remark 4.15), we see that a  $T$ -BAO  $\Phi$  is freely generated by  $\Phi(\mathbb{I})$  iff  $\Phi(S) \cong S^\partial(\Phi(\mathbb{I}))$ . It remains to notice  $S^\partial \cong \hat{S}$ .

We will now see that exact  $T$ -BAOs are precisely those  $T$ -BAOs  $\Phi$  for which the component  $\alpha_\Phi$  of the counit of the adjunction is an isomorphism.

**Theorem 5.10** *Let  $T$  be a VPF. The category  $\text{BAO}_T^e$  is the largest subcategory of  $\text{BAO}_T$  on which the adjunction of Theorem 5.5 restricts to a dual equivalence to  $\text{Coalg}(T)$ .*

**Proof.** Let  $\mathcal{B}$  be the largest subcategory of  $\text{BAO}_T$  on which the adjunction  $\mathcal{A} \dashv \mathcal{C}$  restricts to an equivalence. Then for any  $\Phi \in \mathcal{B}$  the map  $\alpha_\Phi : \mathcal{AC}\Phi \rightarrow \Phi$  consists of a family of isomorphisms going from  $\mathcal{AC}\Phi(S) = \hat{S}(\Phi)(\mathbb{I})$  to  $\Phi(S)$ . Therefore we can define a family of isomorphisms  $\tau_S : \hat{S}(\Phi)(\mathbb{I}) \rightarrow \Phi(S)$  by letting  $\tau = \alpha_\Phi$ . It is straightforward to check that this family satisfies the conditions in Definition 5.8. Hence  $\Phi \in \text{BAO}_T^e$ .

Now let  $\Phi \in \text{BAO}_T^e$ . We have to show that the counit  $\alpha_\Phi$  is an isomorphism. As  $\Phi \in \text{BAO}_T^e$  there is a family of isomorphisms

$$\tau_S : (\mathcal{AC}\Phi)(S) \rightarrow \Phi(S).$$

which is natural in  $S$  and for which we have  $\tau_{\mathbb{I}} = j_{\Phi(\mathbb{I})} = \alpha_\Phi(\mathbb{I})$  and  $\tau_{\mathbb{K}} = \text{id}_{\text{CIP}_{\mathbb{K}}} = \alpha_\Phi(\mathbb{K})$  for all constants  $\mathbb{K} \in \text{Ing}(T)$ . Using Lemma 5.3 one can therefore show that  $\tau_S = \alpha_S$  for all  $S \in \text{Ing}(T)$ . But this means in particular that  $\alpha_\Phi$  is an isomorphism. QED

We now show that the final object in  $\text{Coalg}(T)$  is obtained as the dual of the initial object in  $\text{BAO}_T$ . This is a direct consequence of Theorem 5.5 and a special case of the more general fact that the right adjoint  $\mathcal{C}$  preserves colimits of diagrams that take values in the  $\mathcal{A}$ -image of  $\text{Coalg}(T)^{\text{op}}$ .

**Theorem 5.11** *Let  $T$  be a VPF and  $\mathcal{L}_T$  be the initial object in  $\text{BAO}_T$ . Then  $\mathcal{C}\mathcal{L}_T$  is final in  $\text{Coalg}(T)$ .*

**Proof.** We prove the theorem by showing that  $\alpha_{\mathcal{L}_T}$  is an isomorphism, i.e.  $\mathcal{L}_T \in \text{BAO}_T^e$ . Finality of  $\mathcal{C}\mathcal{L}_T$  follows then immediately from the duality between  $\text{Coalg}(T)$  and  $\text{BAO}_T^e$ . Since  $\mathcal{L}_T$  is initial there is a morphism  $m : \mathcal{L}_T \rightarrow \mathcal{AC}\mathcal{L}_T$ . Since  $\text{id}_{\mathcal{L}_T}$  is the unique morphism  $\mathcal{L}_T \rightarrow \mathcal{L}_T$  it follows that  $\alpha_{\mathcal{L}_T} \circ m = \text{id}_{\mathcal{L}_T}$ . We want to show that  $m \circ \alpha_{\mathcal{L}_T} : \mathcal{AC}\mathcal{L}_T \rightarrow \mathcal{AC}\mathcal{L}_T$  is in fact the identity on  $\mathcal{AC}\mathcal{L}_T$ . Since  $\mathcal{A}$  is full (cf. Theorem 5.5) there is  $f : \mathcal{C}\mathcal{L}_T \rightarrow \mathcal{C}\mathcal{L}_T$  in  $\text{Coalg}(T)$  such that  $\mathcal{A}(f) = m \circ \alpha_{\mathcal{L}_T}$ . We obtain  $\alpha_{\mathcal{L}_T} \circ \mathcal{A}(f) = \alpha_{\mathcal{L}_T} \circ m \circ \alpha_{\mathcal{L}_T} = \alpha_{\mathcal{L}_T} = \alpha_{\mathcal{L}_T} \circ \mathcal{A}(\text{id}_{\mathcal{C}\mathcal{L}_T})$  and the universal property of the coreflection



tells us that  $f = id_{\mathcal{C}\mathcal{L}_T}$ , hence,  $id_{\mathcal{A}\mathcal{C}\mathcal{L}_T} = m \circ \alpha_{\mathcal{L}_T}$  and  $\alpha_{\mathcal{L}_T}$  is iso.

QED

**Remark 5.12 (Completeness of Many-Sorted Coalgebraic Modal Logic)** We can now use the standard Stone duality approach to prove soundness and completeness of Jacobs's logic  $\text{MSML}_T$  (Remark 4.6) with respect to the coalgebraic semantics. Soundness is immediate. To show completeness, assume that  $\not\models \varphi$  in  $\mathcal{L}$ , i.e.  $\varphi \neq \top$  in the initial  $T$ -BAO  $\mathcal{L}_T$ , i.e.  $\mathcal{L}_T \not\models \varphi = \top$ . Since  $\mathcal{L}_T \cong \mathcal{A}\mathcal{C}(\mathcal{L}_T)$  by the theorem, it follows from  $\mathcal{C}(\mathcal{L}_T) \models \varphi \Leftrightarrow \mathcal{A}\mathcal{C}(\mathcal{L}_T) \models \varphi = \top$  (Remark 4.10) that  $\mathcal{C}(\mathcal{L}_T) \not\models \varphi$ .

To conclude this remark, let us note that completeness w.r.t. set coalgebras as in Jacobs [17] is an immediate consequence of completeness w.r.t. Stone coalgebras, since every Stone coalgebra is a set coalgebra (for example,  $\mathbb{V}$ -coalgebras are also  $\mathcal{P}$ -coalgebras). Moreover, for Stone coalgebras, as a further consequence of Stone duality, we also get an expressiveness result: If two states of two Stone coalgebras are not bisimilar then they can be separated by some formula.

**Remark 5.13** In [17], Jacobs states a similar final coalgebra theorem for set-based Kripke polynomial functors. Unfortunately, there is a defect in his proof. The problem involves his functor  $\mathcal{C}_J : \text{BAO}_{T_J} \rightarrow \text{Coalg}(T_J)^{\text{op}}$ . Note that Jacobs's functor  $T_J$  is the set-based analogue of our  $T$ . (To obtain  $T_J$  from ours, simply replace all occurrences of the Vietoris functor with the power set functor  $\mathcal{P}$ , and interpret all polynomial functors occurring in  $T$  in the standard way.) Thus Jacobs studies the relation between  $T_J$ -BAOs and *set-based*  $T_J$ -coalgebras. However, as mentioned already, on the algebraic side, we may identify  $T_J$ -BAOs with  $T$ -BAOs. Thus we may compare Jacobs's way of relating  $\text{BAO}_T$  with the Set-based  $\text{Coalg}(T_J)$  to our way of relating  $\text{BAO}_T$  to the Stone-based  $\text{Coalg}(T)$ .

Jacobs assigns a modal logic  $\text{MSML}_T$  to each Kripke polynomial functor (Remark 4.6) and he proves that the coalgebras for these functors form a sound and complete semantics for these logics. In order to obtain the final coalgebra for a so-called *finite* KPF  $T$ , that is, a KPF that may only contain the finite-power set functor, he maps the Lindenbaum-Tarski algebra  $\mathcal{L}_T$  to its corresponding coalgebra  $\mathcal{C}_J(\mathcal{L}_T)$ , using the above-mentioned functor  $\mathcal{C}_J$ . This construction works when  $\mathcal{C}_J$  maps  $T$ -BAOs to  $T$ -coalgebras. This is, however, only the case for functors  $T$  not containing the finite power set functor  $\mathcal{P}_\omega$  (since a  $(\mathcal{P}_\omega\mathbb{I})$ -BAO is mapped to a  $\mathcal{P}$ -coalgebra and not to a  $\mathcal{P}_\omega$ -coalgebra).

This means that Jacobs's construction of final objects in  $\text{Coalg}(T)$  works only for Kripke polynomial functors that do not contain the power set functor or its finitary version. Moving from the category of sets to **Stone** enables us to repair this defect.

## 6 Conclusions

What we have done so far can be viewed from various perspectives. Here we summarise some of these, indicating possible future research directions.

**Stone Coalgebras and Modal Logic** Research on the relation between coalgebras and modal logic started with Moss [25] although earlier work, e.g. by Rutten [29] already showed that Kripke frames and models are instances of coalgebras. [20,19] showed that modal logic for coalgebras dualises equational logic for algebras, the idea being that equations describe quotients of free algebras and modal formulae describe subsets of final (or cofree) coalgebras. Another account of the duality has been given in [22] where it was shown that modalities dualise algebraic operations. But whereas, usually, any quotient of a free algebra can be defined by a set of ordinary equations, one needs *infinitary* modal formulae to define all subsets of a final coalgebra. As a consequence, while we have a satisfactory description of the coalgebraic semantics of infinitary modal logics, we do not completely understand the relationship between coalgebras and finitary modal logic. The results in this paper show that Stone coalgebras provide a natural and adequate semantics for finitary modal logics, but there is ample room for clarification here.

Another approach to a coalgebraic semantics for finitary modal logics was given in [21]. There, the idea is to modify coalgebra morphisms in such a way that they capture not bisimulation but only bisimulation up to rank  $\omega$ . Since finitary modal logics capture precisely bisimulation up to rank  $\omega$ , the resulting category  $\mathbf{Beh}_\omega$  provides a convenient framework to study the coalgebraic semantics of finitary modal logic. So an important next step is to understand the relation between both approaches.

**Stone Coalgebras as Systems** We investigated coalgebras over Stone spaces as models for modal logic. But what is the significance of Stone-coalgebras from the point of view of systems (that is, coalgebras over  $\mathbf{Set}$ , cf. Rutten [30])? What is the relationship between  $\mathbf{Set}$ -coalgebras and Stone-coalgebras? An interesting observation is here that their notions of behavioural equivalence coincide. Recall that two elements of two coalgebras are behaviourally equivalent iff they can be identified by some coalgebra morphisms. Since Stone-coalgebra morphisms have to be continuous, we expect that fewer states are identified under Stone-behavioural equivalence than under  $\mathbf{Set}$ -behavioural equivalence. But the following holds.

Consider a Vietoris polynomial functor  $T : \mathbf{Stone} \rightarrow \mathbf{Stone}$  and its corresponding (Kripke polynomial) functor  $\check{T} : \mathbf{Set} \rightarrow \mathbf{Set}$ . There is an obvious functor  $F : \mathbf{Coalg}(T) \rightarrow$

$\mathbf{Coalg}(\check{T})$ . Now let  $(\mathbb{X}_1, c_1), (\mathbb{X}_2, c_2)$  be two  $T$ -coalgebras and  $x_1, x_2$  be two elements in  $\mathbb{X}_1, \mathbb{X}_2$ , respectively. Then  $((\mathbb{X}_1, c_1), x_1)$  and  $((\mathbb{X}_2, c_2), x_2)$  are behaviourally equivalent iff  $(F(\mathbb{X}_1, c_1), x_1)$  and  $(F(\mathbb{X}_2, c_2), x_2)$  are behavioural equivalent. — Proof: ‘only if’ is immediate. The converse follows from the fact that the final  $T$ -coalgebra appears as the  $\omega$ -limit of the terminal sequence (see Worrell [34]) of  $\check{T}$ .

**Generalising Stone Coalgebras** Coalgebras over Stone spaces can be generalised in different ways. We have seen that replacing the topologies by represented Boolean algebras leads to general frames. But it will also be of interest to consider other topological spaces as base categories.

From the point of view of modal logic, it will be interesting to investigate the Vietoris functors on other base categories. For example, Palmigiano [26] shows that the Vietoris functor can be defined on Priestley spaces, leading to an adequate semantics for positive modal logic.

From the point of view of the theory of coalgebras, the value of the move from **Set** to **Stone** as a base category can be explained as follows. For a functor on **Set** the notion of behavioural equivalence is, in general, characterised by the whole terminal sequence running through all ordinals. But often, one is interested only in finitary approximations. In the examples considered in this paper, the move from a functor on **Set** to its version on **Stone** has the consequence that the final coalgebra is the limit of the finitary approximants of the terminal sequence (and, therefore, behavioural equivalence is completely characterised by the finitary approximants of the terminal sequence). We expect that this idea of topologising a functor  $T$  in order to tailor the behaviour of  $T$ -coalgebras to meet a specific notion of observable behaviour will have further applications to universal coalgebra.

**Coalgebras and Duality Theory** Whereas many, or most, common dualities are induced by a schizophrenic object (see Johnstone [18], Section VI.4.1), the duality of modal algebras and descriptive general frames is not. To see why this is so, write  $K : \mathbf{MA} \rightarrow \mathbf{DGF}$ ,  $L : \mathbf{DGF} \rightarrow \mathbf{MA}$  for the contravariant functors witnessing the duality and suppose, for a contradiction that there is a schizophrenic object  $S$ . That is, assume that  $\mathbf{MA}(\mathbb{A}, S) \cong UK(\mathbb{A})$  where  $U$  denotes the forgetful functor  $\mathbf{DGF} \rightarrow \mathbf{Set}$ . Then  $\mathbf{Set}(1, U\mathbb{G}) \cong U\mathbb{G} \cong UKLG \cong \mathbf{MA}(LG, S) \cong \mathbf{DGF}(KS, KLG) \cong \mathbf{DGF}(KS, \mathbb{G})$ , showing that  $KS$  is a free object over one generator in  $\mathbf{DGF}$ . But since  $\mathbf{DGF}$ -morphisms are also bisimulations it is not hard to see that such an object cannot exist.

On the other hand, this duality is an instance of the duality  $\mathbf{Alg}(T^{\text{op}}) \cong \mathbf{Coalg}(T)^{\text{op}}$  of algebras and coalgebras, with the Vietoris functor  $\mathbb{V}$  as the functor  $T$ . It seems

therefore of interest to explore which dualities are instances of the algebra/coalgebra duality. As a first step in this direction, Palmigiano [26] shows that the duality between positive modal algebras and  $K^+$ -spaces can be described in a similar way as in Section 3 (although the technical details are substantially more complicated).

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